

Monitoring & Evaluation (M&E) Plan and Impact Statement Template

Objective of the M&E Plan and Impact Statement:

- The M&E Plan and Impact Statement must be designed based on the Technical Assistance Response Plan and must enable the Implementer to complete the Closure Report at the end of the assistance.

Process for filling in the template:

- The Implementer must identify relevant quantitative and qualitative indicators as specified in the Closure Report. A sub-set of indicators to monitor and assess must be chosen among these.
 - The Implementer may also identify other specific, measurable, achievable, relevant, and time-bound indicators suitable to monitor Activities, Outputs and anticipated Outcomes from the technical assistance and add to the M&E Plan and Impact Statement.
 - During implementation of the TA or FTA, the Implementer must collect all relevant data as described in the Monitoring & Evaluation Plan. Aggregated data on selected indicators as well as an updated version of the Impact Statement will be presented in the Closure Report at the end of the assistance.
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Basic Information	
Title of response plan	Formulation of Kenya's ten-year national agroforestry strategy (2020 – 2030)
Technical assistance reference number	2019000044
Country/ countries	Kenya

NDE focal point and organisation	Kenya Industrial Research Development Institute (KIRDI)
Sector(s) addressed	Agriculture, Forestry
Technologies supported	Agroforestry
Implementation period and total duration	November 2019 - December 2020
Total budget for implementation	USD 179,700
Designer of the response plan	Ministry of Agriculture, Livestock, Fisheries and Irrigation
Implementer of response plan	World Agroforestry (International Centre for Research in Agroforestry-ICRAF)

(A) Outputs and Activities as described in the Response Plan	(B) Indicator	(C) Expected results	(D) Method and frequency for data collection	(F) Comments
Output 1: Add title from the Response Plan	<i>Select relevant indicators from the Closure Report. You may also define additional relevant indicators to be added.</i>	<i>Add the expected quantitative or qualitative target/value of the indicator (e.g. number of studies, policy recommendations, etc.).</i>	<i>Describe the expected method and frequency for data collection (e.g. survey, head count at a training workshop, application of a standard methodology etc.)</i>	<i>Describe any assumptions made or anticipated challenges for collecting quantitative and qualitative data</i>
Output 1: Development of implementation planning and	Project implementation reporting tools	Response plan	A documented response plan.	All documents delivered for this output as planned

<p>communication documents</p>		<p>Detailed work plan</p> <p>Monitoring and evaluation plan</p> <p>CTCN Impact Description.</p> <p>CTCN Impact Description Updated post assistance.</p> <p>Closure and Data Collection report.</p>	<p>Documented detailed work plan.</p> <p>Documented monitoring and evaluation plan.</p> <p>Documented CTCN impact description.</p> <p>Documented CTCN impact description updated post assistance.</p> <p>A closure and data collection report.</p>	
<p>Activity 2: Establishment of a coordination committee.</p>	<p>Number of participants taking part in the establishment of the coordination committee.</p> <p>a) Number of men.</p> <p>b) Number of women</p>	<p>15 Coordination committee members.</p>	<p>Documented meeting minutes showing the selected committee members</p>	<p>All selected members will actively participate in the process to the end. The original 12 members decided to expand membership to 15 to increase representation of Civil Society, a dedicated women organization and private sector</p>
	<p>Number of organizations engaged</p>	<p>1. Ministry of</p>	<p>A record of a list of the</p>	<p>The initial committee</p>

	in the coordination committee	<p>Agriculture LF&C- Co-chair</p> <p>2. Ministry of Environment and Forestry- Co chair</p> <p>3. Farm Forestry Smallholder Producers Association of Kenya (FFSPAK)-Vice Chair</p> <p>4. ICRAF-Secretariat</p> <p>5. Council of Governors (COG)</p> <p>6. National Treasury Representative</p> <p>7. World Vision Kenya</p> <p>8. EU Delegation</p> <p>9. FAO Kenya</p> <p>10. Kenya Private Sector Alliance (KEPSA)</p> <p>11. Ministry of Education</p> <p>12. Kenya Forestry Research</p>	institutions engaged	meetings identified a wide array of stakeholders for engagement which led to reconfiguring a Core committee of 15 members and engaging other critical organizations in leadership of pillar and sub-pillar groups during stakeholder engagements. List of all institutions engaged was provided in the stakeholder mapping result and hereby attached.
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	<p>Number of outreach activities conducted (coordination committee periodic meetings)</p>	<p>(4/6) coordination committee’s meeting</p> <p>Coordination committee’s proposed meeting schedule.</p>	<p>A record of the 4/6 meetings minutes</p> <p>A documented proposed meeting schedule.</p>	<p>A meeting schedule was prepared and shared as well as minutes. One final meeting to be conducted to hand the strategy over to the Ministry of Agriculture.</p>
<p>Activity3; Review and analysis of current policies and strategies related to Agroforestry in Kenya</p>	<p>Total number of tools, technical documents and information material supported by the assistance (excluding mission, progress and internal reports): -</p> <p>a) Policy review report.</p> <p>b) Summary report of the status of agroforestry in Kenya Status.</p>	<p>(1) Policy review report</p> <p>(1) Summary report of the status of agroforestry in Kenya.</p>	<p>A documented policy review report.</p> <p>Documented report on the status of agroforestry in Kenya</p>	<p>A policy review report was shared. Vi Agroforestry also provided their policy review report (had a parallel process that had not been known at start) to the stakeholders for mining of details for strategy preparation</p>

Activity 4; Stakeholder identification and mapping;	Number of organizations engaged through CTCN support.	(1) List of at least 20 No. of organization (national level officials, county representatives, local/community representatives, private sector, county representation, and NGO's).	A documented social network analysis with at least 20 key central stakeholders as the starting point.	A social network analysis was conducted with 47 individuals from 36 organizations seen as key central stakeholders as the starting point of stakeholder mapping. A report was prepared and shared.
	Total number of tools, technical documents and information material supported by the assistance (excluding mission, progress and internal reports): - a) Number of other information materials strengthened, revised or created (For example training and workshop reports, Power Points, exercise docs etc.)	(1) report on stakeholder mapping where roles and responsibilities are specified.	A documented report on the stakeholders mapping	
Activity 5; Consultation meetings/ workshop organized.	Number of organizations engaged through CTCN support.	(1) List of organizational participants engaged.	A documented list of organizational participants engaged	Workshop could not be conducted due to COVID 19 restrictions hence the consultations were done on a virtual platform led by a
	Total number of tools, technical	(1) Synthesis	Documented synthesis	

	<p>documents and information material supported by the assistance (excluding mission, progress and internal reports): -</p> <p>a) Number of other information materials strengthened, revised or created (For example training and workshop reports, Power Points, exercise docs etc.)</p>	<p>report on outcomes and recommendations from consultation workshop.</p> <p>(1) report from the workshop.</p>	<p>report on outcomes and recommendations from consultation workshop.</p> <p>Documented report from the workshop.</p>	<p>secretariat involving ICRAF, KEFRI and Ministry of Agriculture. ICRAF facilitated 25 consultation meetings of various group formations (core committee, specific pillars, sub-pillars and all pillar member meetings). 45 organizations represented by up to 93 individuals were involved. A document with summary details of these meetings including participating organizations was shared.</p>
<p>Activity 6: Preparation of the draft of the National Agroforestry Strategy and action plan</p>	<p>Anticipated number of policies, strategies, plans, laws, agreements or regulations proposed, adopted or implemented as a result of the TA: -</p> <p>a) Both adaptation- and mitigation related.</p>	<p>(1) Agroforestry strategy and draft road map/action plan for follow-up by the parent ministry.</p> <p>Inputs from stakeholders' review incorporated in the draft strategy,</p>	<p>A documented Agroforestry strategy and draft road map/action plan for follow-up by the parent ministry.</p> <p>Documented Inputs from stakeholders' review incorporated in the draft strategy, resubmitted to key ministerial officials for</p>	<p>Identified stakeholders provided timely input and feedback although the process was delayed by the COVID context beyond planned timelines. Ministry officials were included in the discussions for timely feedback. Action plan has not been developed as guidance is awaited from ministry officials on next steps especially regarding</p>

		resubmitted to key ministerial officials for review and approval.	review and approval.	public participation given the prevailing COVID context.
Activity 7; Agroforestry strategy validation	Number of organizations engaged through CTCN support.	At least 10 organizations engaged	Documented list of organizations engaged	104 organizations were engaged in the consultation workshops for the draft strategy validation including representatives of 25 out of 47 county (sub-national) governments. This number is net of the 45 organizations that had been involved in developing the draft strategy although other representatives from the original organizations also joined the workshops. A validation workshop report was shared.
	Total number of tools, technical documents and information material supported by the assistance (excluding mission, progress and internal reports): - a) Number of other information materials strengthened, revised or created (For example training and workshop reports, Power Points, exercise docs etc.)	(1) Validation workshop report.	A documented validation workshop report.	
A final draft of the Agroforestry Strategy and draft action plan 2020-2030 by the relevant ministry – MoA - ratified through proper government channels	Anticipated number of policies, strategies, plans, laws, agreements or regulations proposed, adopted or implemented as a result of the TA: - a) Both adaptation- and mitigation related.	One (1) final Agroforestry Strategy draft for ratification and draft action plan 2020-2030.	Documented final draft on agroforestry strategy and action plan.	A draft strategy was shared with the Ministry of Agriculture for formal validation through public participation forums facilitated through the ministry. The action plan will be developed after that.

Note: The information in the table below will be used by the CTCN for public communication of the achieved and expected results of the Technical Assistance through the CTCN website www.ctc-n.org and other communication channels. See for example: https://www.ctc-n.org/sites/www.ctc-n.org/files/benin_a_ag_forestry.final_.pdf

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Impact Statement	
Challenge	Agroforestry- a climate smart technology that offers several adaptation and mitigation benefits. Its potential has been recognized in Kenya’s key climate related policies and plans, specifically through Kenya’s vision to enhance the tree cover upto 10% of the landmass of the country, including into agricultural areas. There has however been no strategic guidance available for facilitating the expansion of Agroforestry in Kenya, despite several project level initiatives and pilot successes, as most re-greening efforts are undertaken through projects. Agroforestry cuts across the mandates of several governmental ministries and therefore lacks a proper institutional home. Besides, Kenya has adopted a devolved governance model, and local implementation of agroforestry-related initiatives does not fall into the federal governmental jurisdiction. Thus, widespread promotion and expansion of Agroforestry remains sub-optimal and requires proper mainstreaming.
CTCN assistance	<ul style="list-style-type: none"> • Review of sectoral policies of key sectors related to Agroforestry. • Multi-stakeholder consultative process of providing inputs and ownership. • Drafting an Agroforestry strategy, considering international best practices and local reality. • Initial validation in readiness for launching of the strategy through national processes.
Anticipated impact	<ul style="list-style-type: none"> • A potential contribution to abatement of 4.2MtCO₂e by 2030 (the strategy contributes to more than 50% of this target). • Additional climate resilience benefits of improved soil quality, improved water retention, reduced soil erosion, and inclusion of perennials that are better able to withstand climatic challenges. • Diversified trees on farms provide income, food, energy, medicine and resilience to climate related

	risks.
Achieved or anticipated co-benefits from the TA	This TA will contribute to build the country's' resilience to natural disaster and climate change. Just as importantly, these initiatives can help provide cleaner air and water, richer soil, biodiversity and more stable food supplies. Thus, in the long run this TA is expected to advance the SDGs primarily 1,2, 13, 15 and 17 but also significantly in 3,6,7,8 and10. The strategy implementation period coincides with the UN Decade for Ecosystem Restoration and will contribute to Kenya ambition to restore 5.1 million ha of degraded land by 2030 as committed within the African Forest Landscape Restoration Initiative and the Bonn Challenge.
Achieved or anticipated gender benefits from the TA	Women are more vulnerable to climate change than men, therefore any initiative designed to build resilience and mitigate climate change safeguards women. In Africa and Kenya in particular, women are more involved in agricultural activities and depend on the sector for their livelihood. As agroforestry results to improved productivity and more sustainable agricultural systems in general, this will ensure advancement of gender equality and other co-benefits to include but not limited to, poverty alleviation, improved nutrition and food security, good health and wellbeing among others
Linkages and contribution to NDC	<ul style="list-style-type: none"> - Through its NDC, Kenya seeks to abate its GHG emissions by 30% by 2030 relative to the BAU. among the key strategies is to make progress towards achieving a tree cover of at least 10% of the land area of Kenya NDC Pg.2. The intended actions in the Agriculture sector to achieve the NDC are mainly reliant on agroforestry to contribute to 80% of potential net emission reduction in the sector. - Agroforestry was identified as a prioritized technology option for the agriculture sector in the National Climate Change Action Plan 2018-2022 Technical Analysis Report Volume II pg.97. - Kenya's National Adaptation Plan (NAP) 2015 -2030; Adaptation actions in the Agriculture sector includes Agro-forestry- Pg. 37 also in the Environmental sector, strengthening tree planting initiative and conservation action Is listed as among the short-term action Pg. 31. - 2019 Strategy for Achieving and Maintaining 10% National Tree Cover By 2022 pg.15. - Draft Agriculture Policy (2019) page 45-46, advances Agriculture (Farm Forestry) Rules, 2009, revised 2012.
The narrative story	Kenya lacks a national strategic framework to facilitate building of partnerships and linkages amongst diverse initiatives and stakeholders involved in the promotion of agroforestry practices. By reviewing existing strategies and policies of Agriculture, Forestry, Climate, Devolution, and by actively seeking and incorporating inputs from critical relevant stakeholders, a widely acceptable Agroforestry Strategy will be developed, that would enable the country in achieving its goals related to tree cover expansion, while offering climate resilience to its rural population. The assistance will: Develop the implementation planning

	<p>and communication documents, establish a coordination mechanism comprising most relevant stakeholders, review and analyse current policies and strategies related to Agroforestry in Kenya, identify and map key stakeholder and have formal consultation mechanism for seeking inputs to the draft strategy. The draft strategy will be validated through various consultative mechanisms, including workshops. The final draft will be validated and launched to initiate discussions on implementation and investment.</p>
Contribution to SDGs	<p>SDG2: End hunger, achieve food security and improved nutrition, and promote sustainable agriculture. SDG13. 1 and 2: Agriculture sector is among the major sectors contributing to significant GHGs emissions. Thus, promotion of such strategies e.g. Agroforestry will ensure efforts are being made to Reduced impact of climate change. The TA will aim to develop the Agroforestry strategy thus ensure coordinated action towards tackling climate change. SDG 15: Protection of forests through increased production of forest products and services outside forest area.</p>