GCF and the Response to COP21 Guidance

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8th Meeting of the Advisory Board to the Climate Technology Centre and Network (CTCN)

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Outlines

The GCF and Mandates from the COP21

Outcomes of the Thirteenth Meeting of the Board (B.13)

Outlook for the Fourteenth Meeting of the Board (B.14)
1. The GCF and Mandates from the COP21
GCF Governing Instrument

• Operating entity of UNFCCC financial mechanism
• Serving the Paris Agreement
• Centrepiece of long-term finance
• Aim to promote the paradigm shift towards low-emission and climate-resilient development pathways

COP21 Mandates (Decision 13/CP.21)

• Linkages between the Technology Mechanism and the Financial Mechanism of the Convention
• Requested the TEC, the CTCN, and the operating entities to enhance cooperation and collaboration
• GCF to consider ways to provide support, for facilitating access to environmentally sound technologies in developing country Parties, and for undertaking collaborative research and development for enabling developing country Parties to enhance their mitigation and adaptation action.
2. Outcomes of the Thirteenth Meeting of the Board (B.13)
B.13 Outcomes – The GCF and the Paris Agreement

• The current GCF modalities enable to support the preparation and implementation of the NDCs and adaptation-related elements of the Paris Agreement;
• The National Designated Authorities/Focal Points need to turn national climate priorities into concrete proposals;
• The core operational priorities of the GCF will be regularly updated;
• GCF future work plans to support the implementation of the Paris Agreement
B.13 Outcomes – Relationship with UNFCCC thematic bodies

- To hold an annual meeting in order to enhance cooperation and coherence of engagement between the GCF and UNFCCC thematic bodies;
- GCF Secretariat will strengthen its current approach to engaging with thematic bodies;
- To invite the Chairs of the TEC, the CTCN, and the REDD+ to B.14.
B.13 Outcomes – Complementarity and coherence with other Funds

• **An operational framework** on complementarity and coherence to be considered by the Board at its fifteenth meeting;
  
  • Key elements
    o Board-level discussions on fund-to-fund arrangements
    o enhanced complementarity at the activity level;
    o promotion of coherence at the national programming level; and
    o complementarity at the level of delivery of climate finance through an established dialogue

• **An annual dialogue** with climate finance delivery channels at the fifteenth meeting of the Board (B.15)
B.13 Outcomes – Adaptation planning process

- NDAs/FPs to collaborate with readiness delivery partners and accredited entities to submit requests for support to formulate their respective NAPs and/or other adaptation planning processes;
- Approval of up to USD 3 million per country for NAPs formulation through the GCF Readiness and Preparatory Support Programme modalities;
- Programmatic approaches for the formulation of multi-country NAPs and/or other adaptation planning processes under the project approval process;
- Engagement with the AC and the LEG in improving access to financial support for the process to formulate and implement NAPs.
Outlook for B.14

Mandates for B.14

- Decision B.12/07 requested the Secretariat to prepare a document that outlines ways to provide support pursuant to the existing modalities of the Fund, for facilitating access to environmentally sound technologies in developing countries, and for undertaking collaborative research and development for enabling developing countries to enhance their mitigation and adaptation action.

Invitation to B.14

- Decision B.13/11 (g) decided to invite the Chairs of the TEC, the CTCN, and the REDD+ to B.14
3. Update on Readiness and Preparatory Support Programme and Project Preparation Facility
### Readiness funding available

<table>
<thead>
<tr>
<th>Funding Level</th>
<th>Description</th>
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<tbody>
<tr>
<td><strong>$1M</strong></td>
<td>cap per country per year</td>
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<tr>
<td></td>
<td>• NDA strengthening</td>
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<td>• Support for direct access entities</td>
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<tr>
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<td>• Strategic frameworks, including country programmes</td>
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<tr>
<td><strong>$3M</strong></td>
<td>cap per country (<em>not</em> per year)</td>
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<tr>
<td></td>
<td>• National adaptation plans and/or other adaptation planning processes</td>
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Readiness access modalities

Direct
- NDAs or focal points
- To support core GCF functions
- $300K per year

Delivery partner
- International, regional, national, sub-national
- All areas
- Subject to caps above
Steps in the process

Proposal development

- Readiness proposal with implementation plan, budget & procurement plan

Review & approval

- Proposal review & financial management capacity assessment to approve funding request

Legal arrangements

- Grant agreement and related legal documents

Disbursement & reporting

- Disbursement requests with reports
**Project preparation facility**

**What is on offer?**
- Support for project/programme development
- Especially micro-to-small size projects
- Up to $1.5M per project preparation request

**How to apply?**
- Accredited entities, especially direct access, submit requests
- Request submitted with project/programme concept
- In conjunction with no-objection letter from NDA/focal point

**What is assessed?**
- Secretariat assesses concept against investment criteria
- Also assesses request for justification of needs & GCF policies
- Executive director approves requests