



9c) Elaboration of Network criteria and designation of Network members

6th Advisory Board Meeting



Overview of Network Criteria

The applicant shall...

1. Have a **legitimate institutional structure** (NDEs are de facto members of the CTN)
2. Have a demonstrated **capability** in initiatives aimed at development, transfer and deployment of climate technologies applicable for developing countries including **expertise** in policy, capacity building and/or investment
3. Have **demonstrated operational and organizational stability**, as evidenced by financial, human and other resources relative to their mandate and size that could reasonably be deemed sufficient to deliver the organization's mandate
4. Pledge and comply with the **CTCN Code of Conduct**



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Key Observations, challenges and measures taken

- Network criteria continues to be effective
- The CTCN seeks further Network expansion and the Network application process should be supportive
 - Network application form has been further simplified so that only essential information is requested
 - New section added to application form that requests a description of the organization for the purposes of promoting the Network member on the CTCN website
- **Proposal is for no changes to the Network criteria and for the CTCN to continue monitoring the effectiveness of the Network criteria**

Membership Expiry

- The 2-year membership validity was defined in the guiding principles of the Network (2nd Advisory Board meeting) “***Membership in the CTN is merit-based and time-bound***”
- At 5th Advisory Board meeting, temporary solution to have validity of membership registered before 31 December 2015 to not expire before 31 December 2017
- **Proposal is to extend the 2 year membership validity period until further notice**
- CTCN will continue to monitor Network membership and their involvement in CTCN activities so as to identify the appropriate time for ceasing the extension
- Cessation of this extension to membership validity will involve direct notification to all Network members; membership validity will be a minimum of 2 years from the date of such notification



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9d) Guidance on Stakeholder Engagement

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Stakeholder Engagement Strategy

COP Decisions that inform the stakeholder engagement strategy:

- The CTCN shall **facilitate a network** of national, regional, sectoral and international technology networks, organizations and initiatives with a view to engaging the participants effectively...” (1/CP16), including in the following functions:
 - Stimulating and encouraging, through collaboration with the **private sector, public institutions, academia and research institutions**, the development and transfer of existing and emerging environmentally sound technologies, as well as opportunities for North-South, South-South and triangular technology cooperation.
- The CTCN should be funded from various sources, including....**private-sector** channels, philanthropic sources as well as....participants in the Network.



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Stakeholder Engagement Strategy

Objective:

- Strengthen the CTCN and the expertise available to developing countries

Engagement Strategy:

- Strategy elements to deliver CTCN goals of:
 - Sharing expert information and knowledge (i.e., via KMS)
 - Collaborating through technology transfer partnerships and arrangements
 - Responding to country requests with best available expertise
- Strategy elements for special areas:
 - Research and development
 - Civil society
- Strategy elements for special communities:
 - RINGOs
 - ENGOs
 - BINGOs



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Stakeholder Engagement – All Stakeholders

Current collaboration:

- Regional expert dialogues, regional NDE workshops and forums
- Network members supporting provision of CTCN services
- Various international meetings and CTCN events

Possible strategy elements:

- **Information and knowledge:** promote two-way exchange of knowledge on the work of CTCN and of stakeholders to increase awareness.
- **Partnership and collaboration:** stimulate R&D collaboration, partnerships or twinning arrangements between and among CTCN and institutions.
- **Technical assistance:** facilitate participation of stakeholders into the Network to provide direct input in support of technical requests



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Stakeholder Engagement – RINGOs

Current collaboration:

- Research organizations part of the Consortium, Network and NDEs
- Applied research projects with Georgetown University and Science Po Paris

Survey conducted to assess needs of research institutions:

- Knowledge sharing related to climate technologies and to promote research results and successes.
- Facilitation of collaboration with other research organizations and universities (i.e., twinning arrangements, exchange programmes, collaborative research programmes, secondment programmes).
- Creating relationships and collaboration with other key technology players, including from public and private sector, mainly to identify funding opportunities and support to deploy technologies.

Possible strategy elements: some ideas from survey results to be further developed.



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Stakeholder Engagement – ENGOs

Current collaboration:

- Bilateral dialogues with ENGO representatives during COP19, COP20, Subsidiary Body meetings, and at various regional events.
- Engagement with the ENGO representative of the CTCN Advisory Board.

Possible strategy elements:

- Recommendations for interactions between the CTCN and ENGOs to ensure that the concept of technology neutrality is embedded within the CTCN process of providing technical assistance to developing countries.
- Recommendations for potential collaboration between the CTCN and ENGOs for capacity building, education and networking activities.
- Recommendations on the types of meetings (workshops, conferences, seminars) and examples of meetings that the CTCN could participate in to increase opportunities of collaboration with non-governmental organizations.



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Stakeholder Engagement – BINGOs

Current collaboration:

- Bilateral dialogues with private sector actors during COP19, COP20, UN Climate Summit, and at various regional events.
- Engagement with international business organizations fostering engagement of the private sector for solutions to climate change.
- Participation at Road to Paris events targeted to engage private sector participation.

Possible strategy elements:

- **Thinking and learning:** on-going process to enable in depth understanding, learning, and knowledge retention.
- **Enhancing Network membership, mobilization, and presence:** reaching out to business and industry with sectoral and geographically relevant modalities and messages for mobilizing action to fulfil the CTCN mandate.
- **Opportunity space:** creating face-to-face and virtual opportunities for both the private sector and other CTCN stakeholders to facilitate climate technology transfer.



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9e) Global Collaboration on Research and Development and Demonstration of Climate Technologies

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Summary of COP Decisions related to RD&D

➤ General text on RD&D and CTCN:

- “The Conference of the Parties requests the CTCN...to elaborate its modalities and procedures...including, inter alia, consideration of the following roles of the Climate Technology Centre and Network:
 - (d) Facilitating **research, development and demonstration** of new climate-friendly technologies for mitigation and adaptation, which are required to meet the key objectives of sustainable development.”

➤ Specific text on RD&D along the CTCN’s three main service areas:

Manage Requests

- “Support countries in developing draft proposals into fully articulated proposals, building on their technology needs assessments (TNAs), national adaptation programmes of action (NAPAs), other national climate change strategies including **research, development and demonstration (RD&D)** related activities, to enable implementation and action...”



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Summary of COP Decisions related to RD&D

- Specific text on RD&D along CTCN's three main service areas (con't):

Foster Collaboration and Access to Information and Knowledge

- “Catalyse and develop information and knowledge regarding climate technologies including: needs for technologies, existing human resources development programmes and needs, best practices, **RD&D programmes**, analytical tools, training curriculums and academic programmes, technology deployment, etc., including online training packages”

Strengthen Networks, Partnerships and Capacity Building

- “Catalyse and support forums conducted by the Network to promote public–private partnerships and partnerships between relevant organizations to advance technology **RD&D**”



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