



CTCN Programme of Work 2016

6th Advisory Board Meeting
September 2015



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Annual Operating Plan – Technical Assistance

Approach

- Respond to technical assistance requests through building on lessons learnt from first year of operations
- Encourage balance between countries, sectors and UNFCCC objectives (adaptation/ mitigation)
- Align assistance with UNFCCC processes and other relevant national and international programmes



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Annual Operating Plan – Technical Assistance

Actions

- Deliver technical assistance to help countries prioritize, develop, and-or scale up their climate technology solutions, at all stages of the technology cycle
- Support countries in securing financing for climate technology development, demonstration and diffusion and commercial maturity beyond the CTCN intervention.
- Implement the ‘Request Incubator Program for Least Developed Countries’ in current and potential participating countries, to develop high quality requests for technical assistance that have strong potential for technology deployment



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Annual Operating Plan – Network & Stakeholders

Approach

- Expand network to ensure high level expertise and diversity (regional, sectoral)
- Increase collaboration with business and industry, public institutions NGOS and research institutions
- Promote North-South, South-South and triangular partnerships, including for research and development
- Identify expertise gaps in the network and address gap through targeted outreach



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Annual Operating Plan – Network & Stakeholders

Actions

- Encourage Network membership from developing countries
- Further engage Network members in CTCN technical assistance, capacity building, and knowledge management activities
- Co-organize thematic events with Network members at regional and sub-regional level for NDEs and other in-country stakeholders (low cost technologies, traditional technologies, ecosystem-based technologies, etc.).
- Create synergies and operational collaborations with major multilateral donors to facilitate matchmaking opportunities with country stakeholders
- Implement the private sector engagement strategy and facilitate interaction between NDEs and business and industries from within their countries
- Assess the opportunity of launching a ‘climate technology innovation award’ for entrepreneurs and SMEs from developing countries
- Establish SME, start-up and researcher programmes to stimulate technology based cooperation between developed and developing countries
- Pursue efforts to engage with Universities, Research Institutions, and Civil Society organizations



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Annual Operating Plan – Knowledge management

Approach

- Further develop and expand its communications and knowledge management activities
- Generate broader awareness of CTCN services
- Provide access to an increasing level of contextualized and relevant information on climate technologies and capacity building opportunities.

Annual Operating Plan – Knowledge management

Actions

- Develop a climate technology information library: a web-accessible, organized, and referenced set of climate technology information
- Develop a Matchmaking Assistant to support the work of Climate Technology Managers (CTM), which maps requested climate technology expertise with expressed needs
- Implement the internal and external communication strategy to demonstrate how CTCN technical assistance is being utilized in various countries
- Advance internal KMS intranet functionality to support CTCN operations
- Support transparency by creating real time visualization of CTCN metrics on the website
- Expand strategic knowledge partnerships with recognized climate technology and financial organizations (Climate Knowledge Brokers group)



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Annual Operating Plan – Capacity Building

Approach

- Build institutional capacities of NDEs from developing countries
- Build capacities of other stakeholders that are key in the identification and deployment of climate technologies including private companies, government agencies, research organizations and universities, etc.
- Country-driven approach and adapted to country needs
- Create synergies with existing programmes and efforts

Annual Operating Plan – Capacity Building

Actions

- Continue training NDEs through a dedicated training session during next round of CTCN Fora, based on needs expressed by NDEs
- Design and conduct programmes aimed at strengthening or building capacities of stakeholders at the national level, with the view of supporting prospective request proponents and beneficiaries of CTCN assistance.
- Conduct, through the KMS, webinars developed by Consortium Partners, Network members and other key partners
- Explore the possibility of developing a Massive Open Online Courses (MOOC), in collaboration with partner universities
- Pursue the implementation of the CTCN Secondment programme to establish a mutually beneficial process of peer-learning and sharing of good practice, ideas and experience



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Annual Operating Plan – Monitoring & Evaluation

Approach

- Follow up COP requirements to monitor, assess and evaluate the overall operational performance of the CTCN (not only technical assistance services)
- The information collected will be used to:
 - (i) provide clear, efficient and timely reporting to the COP/ Parties, Technology Executive Committee (TEC), CTCN Advisory Board, CTCN Donors, UNEP, UNIDO, and other interested entities such as the media and civil society
 - (ii) monitor the operational performance of the CTCN and demonstrate the effectiveness of the CTCN Consortium in delivering on its objectives and mandate
 - (iii) gradually strengthen knowledge on climate technologies and establish a learning process that will feed into the CTCN KMS



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Annual Operating Plan – Monitoring & Evaluation

Actions

- Implement the M&E framework, in line with the reporting requirements established under the COP and the Advisory Board
- Further develop a KMS-based assessment and evaluation framework to report and present CTCN's technical assistance responses
- Develop a set of tools for M&E based on the indicators to be monitored and the processes and elements identified in the M&E framework
- Perform at least an annual evaluation/review of its operational processes and activities ,including Consortium Partners and Network Member performance



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Annual Operating Plan – Resource Mobilisation

Approach

- Follow the resource mobilisation options, such as support from the UNFCCC Financial Mechanism, different burden sharing models among the Parties to the COP and voluntary ad hoc bilateral funding, including non-governmental sources.

Actions

- Increase resource mobilisation activities in order to be able delivery of mandated services at the scale approved by the Advisory Board
- Seek funding from UNFCCC Financing mechanism, particularly GEF and GCF, consistent with COP decisions, Annex II countries, and other voluntary bilateral funding

In addition, the CTCN will increase support to developing countries in their efforts to identify, attract and channel financing from development banks on their high priority climate technology solutions (discussions with global and regional Multilateral Development Banks)



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Targets for 2016

Service: Technical assistance in response to country requests

Number of quick response interventions	70-100
Number of response projects implemented	50-70

Service: Outreach, networking and stakeholder engagement

Number of international technology events/forums	4-6
Number of regional public-private sector workshops	6-9
Number of regional networking meetings	6-9
Number of knowledge partners	140
Number of Public-Private Partnerships formed as result of workshops	3
Number of twinning arrangements as a result of networking events	4

Service: Knowledge Management, peer learning and capacity building

Number of remote technical advisory responses through helpdesk	50-70
Number of capacity building workshops and training events	8-11
Tools and information materials, including coverage of lessons and best practices captured	2000
Number of trained CTCN NDEs	130
Number of trained CTCN clients	400
Number of unique KMS users	5000
Number of tool and information resource page visits	35000

Targets for 2016

Outputs	2016	3rd year planned	2015 actual
Service: Technical assistance in response to country requests			
Number of quick response interventions	70-100	70-100	30
Number of response projects implemented	50-70	50-70	20
Service: Outreach, networking and stakeholder engagement			
Number of international technology events/forums	15-20	4-6	10
Number of regional public-private sector workshops	12-15	6-9	10-15
Number of regional networking meetings	6-9	6-9	7
Number of knowledge partners	140	140	100
Number of Public-Private Partnerships formed as result of workshops	5-7	3	1
Number of twinning arrangements as a result of networking events	6-10	4	2
Service: Knowledge Management, peer learning and capacity building			
Number of remote technical advisory responses through helpdesk	50-70	50-70	N/A
Number of capacity building workshops and training events	15-20	8-11	7
Tools and information materials, coverage of lessons and best practices	2000	2000	1500
Number of trained CTCN NDEs	200	130	150
Number of trained CTCN clients	12-1500	400	1200
Number of unique KMS users	20000	5000	14000
Number of tool and information resource page visits	150000	35000	120000

2016 Planned Budget – B Budget

1. Technical assistance in response to country requests	2016 Planned Budget	2016 B Budget
Requests coordination, refinement, support	2 000 000	1 500 000
Requests implementation	15 000 000	11 250 000
TOTAL	17 000 000	12 750 000
2. Outreach, networking and stakeholder engagement		
Outreach and Communication	500 000	500 000
CTCN NDEs and Networking Engagement	1 000 000	850 000
Stakeholder Engagement	680 000	680 000
TOTAL	2 180 000	2 030 000
3. Knowledge Management, peer learning and capacity building		
KMS Technical Development	820 000	820 000
KMS Content Development	500 000	500 000
Capacity Building activities and material	600 000	500 000
Monitor and Evaluation	100 000	100 000
TOTAL	2 020 000	1 920 000
4. CTCN establishment and operation costs		
CTCN establishment and operations	2 300 000	2 100 000
AB Meetings and other UN meetings	200 000	180 000
TOTAL	2 500 000	2 280 000
GRAND TOTAL	23 700 000	18 980 000

Budget available for 2016

Contribution (Net PSC)	Amount (USD)	Status	Timeframe	Conditions
➤ A. Funds received and available for programming in 2016				
Finland	191,717	Received	Until 2017	Unearmarked
GEF*	1,000,000	Received	Until 2017	Technical Assistance
Japan**	659,125	Received	Until 2017	Mitigation in Asia
Sub-total A	1,850,842			
➤ B. Funds received but pending approval for programming in 2016:				
Norway**	2,338,000	Received	Until Dec 2015	Unearmarked
Sub-total A+B	4,188,842			
➤ C. Funds committed but not disbursed - extension for 2016 to be requested:				
ENRTP	3,194,097	Committed	Until April 2016	Earmarked for activities such as KMS, capacity building, etc.
Sub-total A+B+C	7,382,939			

* GEF project 1,8 million: 400,000 expected to be obligated/spent in 2015, 1,000,000 for TA in 2016 and remaining 400,000 for activities in 2017

** Forecast balance to be carried over in 2016

➤ D. 2016 Obligations				
KMS, TA, PSE, M&E, etc.	1,954,370	Obligated	2016	Contracts signed – money to be released

Forecast amount for operations in 2016: USD 9,33 million

Thank you!