

UNFCCC

**Independent review of the Climate
Technology Center and Network**

29th August 2017

EY – Cleantech & Sustainability



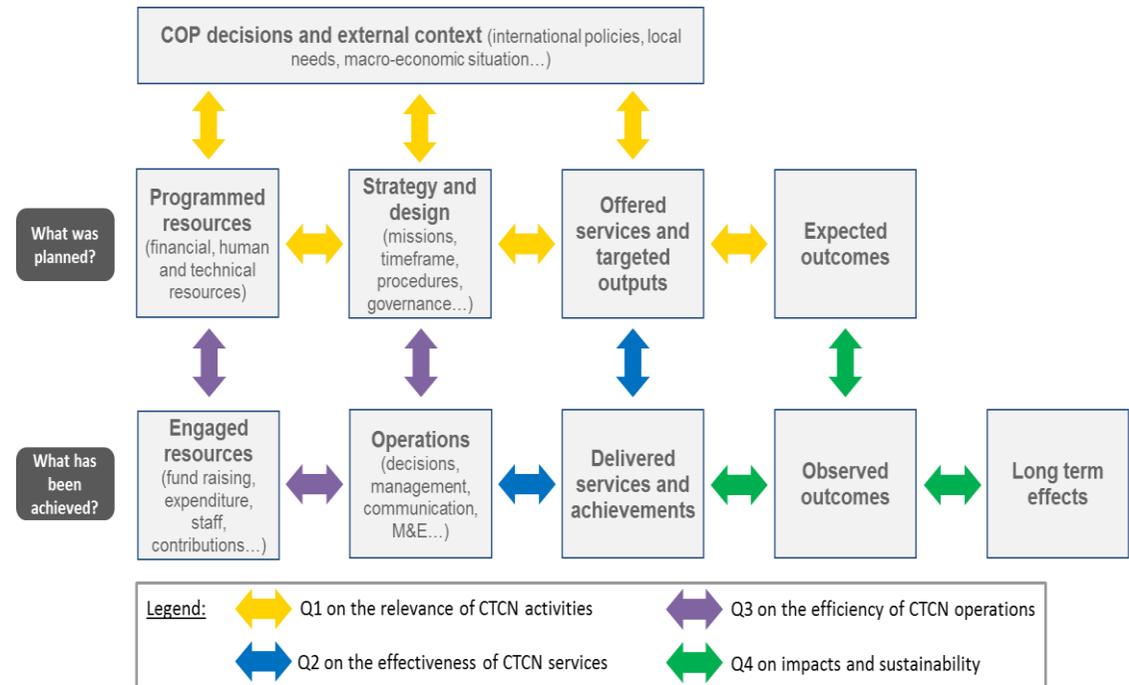
1 Context & Methodology

► Context:

- **Decision 2/CP.17 annex VII, paragraph 20:** “The secretariat, subject to the availability of resources, **shall commission an independent review of the effective implementation of the Climate Technology Centre and Network four years after its inception.** [...]”
- Independent evaluation was conducted between October 2016 and August 2017 by EY.

► Methodology:

1. **Relevance:** Are the strategy and the resources of the CTCN relevant and appropriate regarding the priorities given by the COP and countries’ needs for support?
2. **Effectiveness:** Have the objectives of the CTCN been achieved in terms of its three core services?
3. **Efficiency:** Have the objectives of the CTCN been achieved with optimal use of resources and in a timely manner with regards to the establishment of the CTCN and the deployment of its services?
4. **Impacts and sustainability:** Did the CTCN reach its expected outcomes and provide long term, positive and replicable effects?



2 Work conducted

▶ Review of an extensive documentary base including

- ▶ Decisions of the COP
- ▶ Operating plans
- ▶ Minutes of AB meetings and Task Forces
- ▶ Annual reports
- ▶ CTCN website
- ▶ Impact briefs
- ▶ Presentation to the AB (Technical assistance, KMS, network and other snapshots)
- ▶ Financial statements
- ▶ Procedures document
- ▶ Programs of work
- ▶ Database of technical assistance
- ▶ Database of participants to events

▶ Surveys of NDEs, beneficiaries and partners of the CTCN

- ▶ Three surveys conducted in parallel between February and March 2017. Response rates are satisfactory

Survey targets	Number of emails sent	Number of replies (Answered question 1)	Rate	Number of survey completed (answered the last question)	Rate
NDE	155	71	46%	53	34%
Partners	672	121	18%	88	13%
Beneficiaries	98	39	40%	30	31%

▶ 36 interviews with stakeholders:

- ▶ Secretariat
- ▶ Director and staff of the CTCN
- ▶ Donors
- ▶ Advisory Board members and ex-members
- ▶ Consortium and strategic partners
- ▶ Network members
- ▶ NDEs and beneficiaries of technical assistance

3 Main findings

Successes	Difficulties
Very good feedback from beneficiaries (satisfactory rate)	Limited availability and predictability of funding
Effective implementation of successive COP decisions	Lack of regular and quantitative communication on efforts spent, outcomes and impacts with AB members and donors
Efficient organization	Need for enhanced technical expertise within the Advisory Board
Useful strategic guidance provided by the AB	Limited human resources mobilized in the CTCN core team and the consortium partners and insufficient use of the Network
Empowerment of NDE and tailored response to TA requests	NDEs lack resources and capacity while providing technical only in response to national requests limits the activities of the CTCN
-	Possible improvement as regards delays in the provision of technical assistance and as regards the organization of events and webinars
-	The M&E framework does not currently allow to monitor long-term global impacts

4 Recommendations

Subject	Recommendations
Governance and organization	#1: Encourages countries to enhance awareness of their NDE by relevant stakeholders and support their NDE through national institutions and by cooperation with other national UNFCCC focal points.
	#2: Enhances the governance of the CTCN so that it continues to respond to the CTCN's needs in terms of strategic and technical guidance.
	#3: Encourages the CTCN to clarify the role of developed country NDEs.
Funding	#4: Invites UNEP and UNIDO, as hosts of the CTCN, to identify potential sources of additional financial resources.
	#5: Encourages the CTCN, the GEF and the GCF to continue exploring how to further facilitate the provision of sustained funding for CTCN activities and enhance operational linkages between the organizations, in line with their respective mandates.
Technical assistance	#6: Encourages the CTCN, its Advisory Board and NDEs to increase the efficiency of the CTCN's provision of technical assistance.
Knowledge management, peer learning and capacity-building	#7: Encourages the CTCN to continue training NDEs regularly and facilitating the elaboration of requests through its regional forums and Incubator Programme.
Outreach, networking and stakeholder engagement	#8: Encourages the CTCN to continue raising awareness of its services in developing countries.
	#9: Encourages the CTCN to reinforce the involvement of Network members in its activities.
Monitoring, evaluation and reporting	#10: Encourages the CTCN to strengthen the transparency of its funding arrangements and enhance the reporting and evaluating of its impact.
Advisory Board	#11: Requests the Advisory Board to operationalize the recommendations arising from this review.

Q&A Session

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