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Overview of the programme
Adaptation Fund Climate Innovation Accelerator (AFCIA)

The AFCIA at a glance

- **5-year programme** executed by the CTCN with support from UNEP
- **Objective**: Support for climate change vulnerable countries to test, evaluate, roll out and scale up innovative adaptation practices, products and technologies
- **Provision of technical assistance** to implement 25 micro-grants projects (up to USD 250,000 each)
  - Hardware (physical tools) or software (processes, knowledge and skills)
  - *UNDP programme: Grant funding*
- **Budget**: USD 5 million + USD 1 million (CTCN co-funding)
Assessment of adaptation innovation
- Consideration of three elements to identify and assess innovation in adaptation technology

Operation and management of the AFCIA
- Use of the same operation and management process of the CTCN technical assistance (TA)
- Eligibility: Non-Annex I Parties in Africa, Asia-Pacific and Latin America and Caribbean under the UNFCCC that do not have National Implementing Entities (NIEs) accredited with the Adaptation Fund
  - Eligible countries: 114 Non-Annex I Parties
  - Applicants: Governments, non-governmental organisations, community groups, young innovators and other vulnerable groups

< Innovation in adaptation technology – 3 elements >
- A new, existing or improved technology
- A scalable technology
- A hard or soft technology
Example of adaptation sectors in the AFCIA

- Agriculture
- Coastal zone management
- Disaster risk reduction
- Food security
- Forests
- Human health
- Marine and fishery
- Rural development (resilience)
- Urban development (resilience)
- Water management

Innovative approach supporting developing countries to pursue their strategies for climate change adaptation (e.g. ecosystem-based adaptation, urban adaptation, water and sanitation, etc.) and/or address their challenges to environmental resilience (e.g. natural disaster risk management, COVID-19 pandemic, etc.) will be implemented.
Operation and management of the programme
Operation and management of the AFCIA

- Overview of the innovation micro-grants mechanism
  - Receive technology concepts (TA requests) submitted by developing countries
  - Select 30 technology concepts and develop associated project concept notes (TA response plans)
  - Select 25 project concept notes for implementation of micro-grants projects (TAs)
  - Select Network Members to implement 25 micro-grants projects in collaboration with stakeholders of the countries
### Submission of technology concepts - *Short description of the requested technologies*

- **3-time calls for submission of the technology concept** (Nov. 2020, Feb. 2021 and Feb. 2022)
- Submission of the ‘**Technology Concept Submission Form**’
  - The template can be downloaded via the AFCIA webpage (language: English, Spanish and French)
  - Need to be **completed in collaboration with the national focal points** to the CTCN (National Designated Entity, NDE) and the Adaptation Fund (Designated Authority)
  - Need to be **signed by the NDE (or NDEs) prior to official submission** via the AFCIA webpage

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**Diagram:**
- Submission of TA request
- Evaluation of TA request
- Development of TA response plan
- Evaluation of TA response plan
- Implementation of micro-grants projects
Operation and management of the AFCIA (cont.)

- **Selection of technology concepts**
  - (1\textsuperscript{st} round) 6 technology concepts, (2\textsuperscript{nd} round) 12 technology concepts, (3\textsuperscript{rd} round) 12 technology concepts
  - Adoption of the evaluation criteria used in screening TA requests in the CTCN TA process
    - Selection of the top-scoring technology concepts in each round
  - **Priority given to** the technology concept submitted by Least Developed Countries (LDCs) and Small Island Developing States (SIDS)
  - **Consideration of the regional balance** (Africa, Asia-Pacific and Latin America and Caribbean)

![Diagram of process flow](https://via.placeholder.com/150)
Operation and management of the AFCIA (cont.)

- Development of project concept notes - *Design of customised solutions tailored to the need of developing countries*
  - 30 project concept notes in total developed by selected Network Members (up to 2.5 months per each)
    - Network Members: ① CATIE, ② ICRAF, ③ TERI, ④ UNEP-DHI Centre on Water and Environment
    - **Initial scoping assessment** (adaptation needs & gaps in the sector, feasibility of the proposed technologies)
    - **Stakeholder consultations** (including youth and gender representatives) on the proposed technologies
    - **Development of the draft project concept note** including a list of activities and deliverables
  - Need to be **endorsed by the NDE (or NDEs) of the country (or countries) before final submission**
Operation and management of the AFCIA (cont.)

- Selection of project concept notes
  - **25 project concept notes in total** selected to implement micro-grants projects
  - Adoption of the evaluation criteria used in screening TA requests in the CTCN TA process
    - Selection of the top-scoring project concept notes in each round
Operation and management of the AFCIA (cont.)

- **Implementation of micro-grants projects**
  - Open tender process for selection of Network Members to implement micro-grants projects
  - **Duration of the micro-grants project implementation: 12 to 18 months per each**
  - Monitoring and evaluation (M&E) strategy for the micro-grants projects
    - (Beginning period) Detailed work plan, M&E plan and impact statement, and minute of inception meeting
    - (Implementation period) Deliverables (e.g. report, dataset, etc.) of each activity
    - (End period) Project closure report
Schematic diagram of the AFCIA

Technology concepts
Submitted by NDEs via the webpage

Funding
Funded by: ADAPTATION FUND

Project concept notes
Developed in collaboration with:

AFCIA
Administered by:

Micro-grants projects
Implemented by:

+600 Network Members

Expected outcomes of the AFCIA

- The innovation micro-grants mechanism is launched, and partnerships for accessing the Adaptation Fund innovation mechanism are accelerated.
- Adaptation innovation and technology incubation and acceleration supported by government structures and processes are enabled.
- Experiences from the innovation micro-grants mechanism lead to scaled-up funding.
Draft timeline of the AFCIA

Submission of technology concepts
- Expected submission deadline
  • (1st round) Jan. 2021
  • (2nd round) April 2021
  • (3rd round) April 2022

Selection of technology concepts
- Expected delivery date
  • (1st round) Feb. 2021
  • (2nd round) May 2021
  • (3rd round) May 2022

Development of project concept notes (country-endorsed)
- Expected delivery date
  • (1st round) May 2021
  • (2nd round) Nov. 2021
  • (3rd round) Nov. 2022

Selection of project concept notes
- Expected delivery date
  • (1st round) June 2021
  • (2nd round) Dec. 2021
  • (3rd round) Dec. 2022

Implementation of micro-grants projects
- Expected end date of the implementation
  • (1st round) April 2023
  • (2nd round) April 2024
  • (3rd round) April 2025

Submission of TA request

Evaluation of TA request

Development of TA response plan

Evaluation of TA response plan

Implementation of TA response plan

Submission of technology concepts

Selection of technology concepts

Development of project concept notes (country-endorsed)

Selection of project concept notes

Implementation of micro-grants projects
Thank you

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