



6 – Funding status, financial statement and resource mobilization

5th CTCN Advisory Board
Meeting, 14-16 April 2015



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Parties' Decision on CTCN Funding Sources

➤ COP Decision 2/CP.17

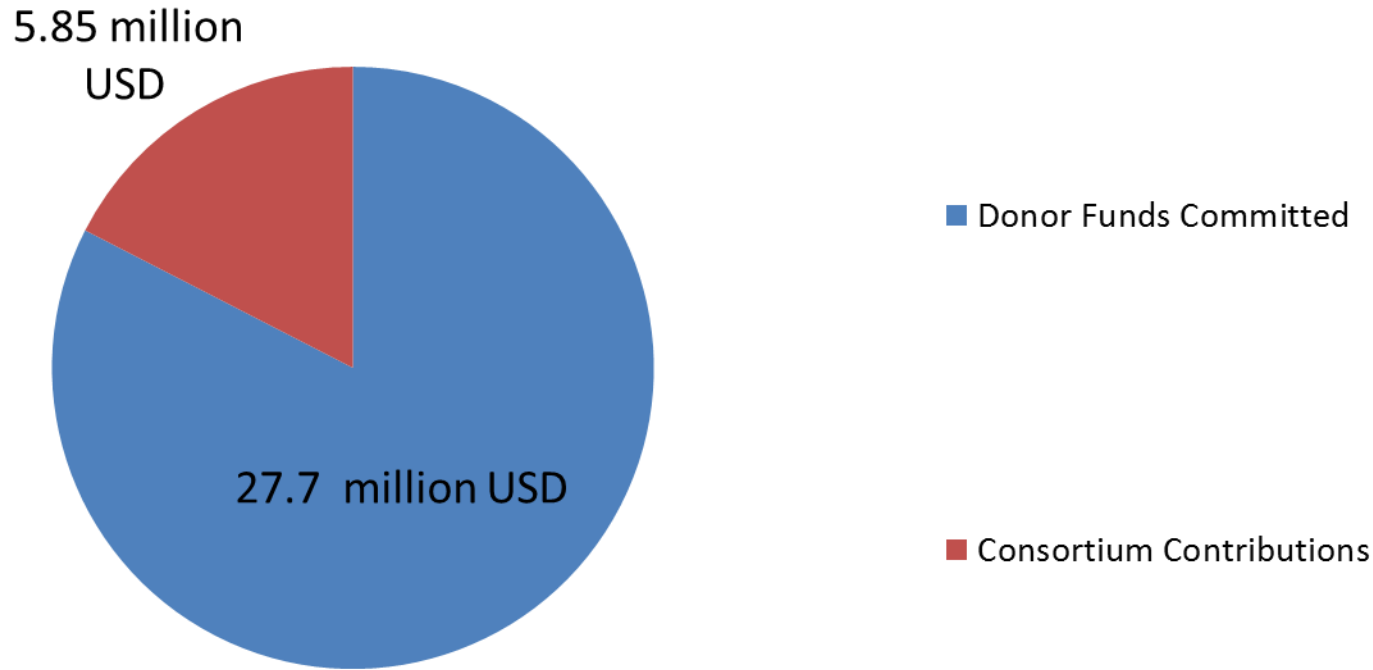
- ✓ 139. Decides that the costs associated with the Climate Technology Centre and the mobilization of the services of the Network should be funded from **various sources**, including the financial mechanism of the Convention, bilateral, multilateral and private sector channels, philanthropic sources as well as financial and in-kind contributions from the **host organization** and participants in the **Network**;
- ✓ 140. Requests the **Global Environment Facility** to support the operationalization and activities of the Climate Technology Centre and Network without prejudging any selection of the host;
- ✓ 141. Invites **Parties** in a position to do so to support the Climate Technology Centre and Network through the provision of financial and other resources



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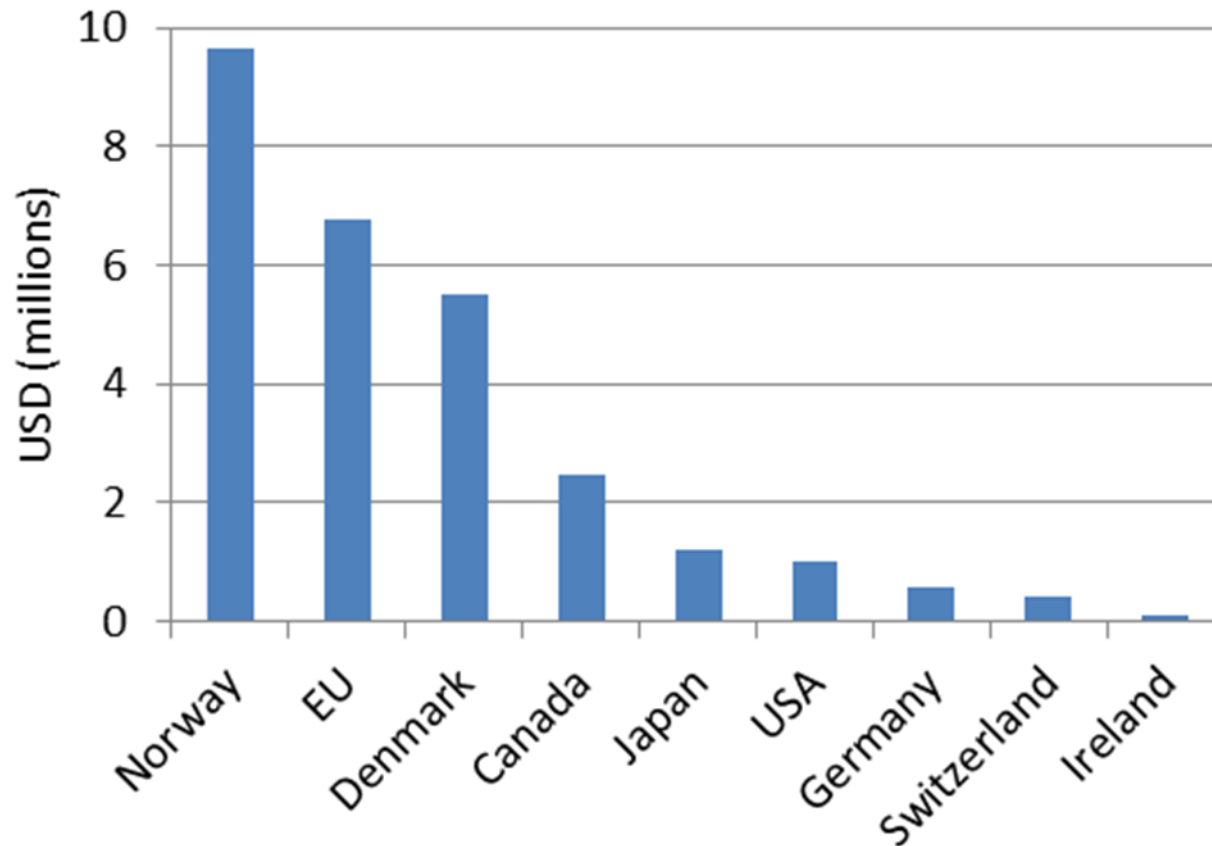


CTCN Financial Overview



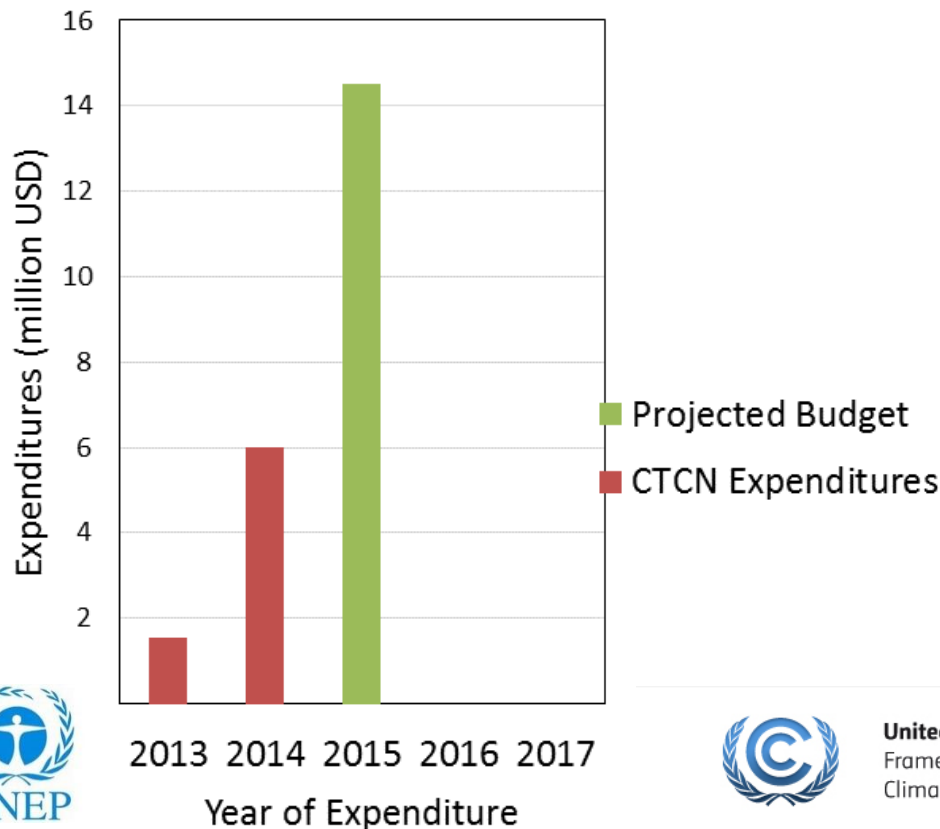
➤ **Total contributions of USD 33.55 million**

Funds Committed by Donor

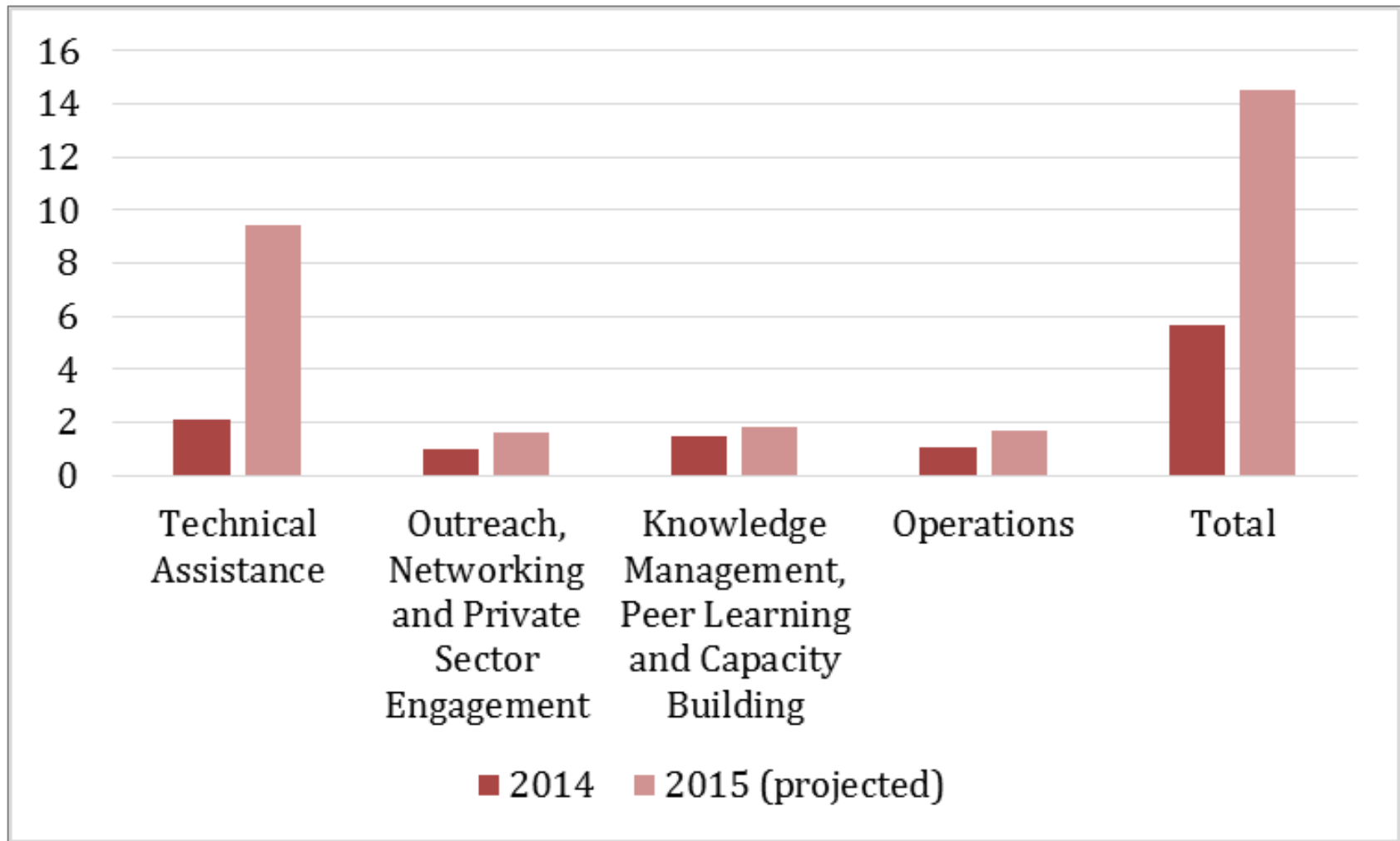


Expenditures and Projected Budget by Year

- Donor funds enable CTCN to proceed with its first 3 years of operation
- CTCN projected annual expenditures expected to increase sharply as the number of requests from NDEs increase



Expenditures by CTCN Function



Donor Funds and Projected Budget for 2015

Funding available for activities: 2,068,427 USD

- Including PCAs currently being signed: 1,732,000 USD
(not including PCAs to be covered by UNIDO and partially funded by incoming contributions)

Upcoming additional funding for activities:

April: 1,000,000 (Japan)

June: 4,039,997 (Norway)

July: 2,173,921 (EC)

Donor agreements in the pipeline/being negotiated:

USA, EC, Finland



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Budget for 2014 - 2015

Main components	Year	
	2014	2015
Requests coordination, refinement, support	405 000	1 100 000
Requests implementation	1 707 877	8 300 000
TOTAL	2 112 877	9 400 000
2. Outreach, networking and private sector engagement		
Outreach and Communication	142 985	300 000
CTCN NDEs and Networking engagement	656 792	800 000
Private Sector Engagement	168 729	500 000
Total	968 506	1 600 000
3. Knowledge Management, peer learning and capacity building		
KMS Technical Development	694 532	900 000
KMS Content Development	405 000	400 000
Capacity Building activities and material	225 000	400 000
Monitor and Evaluation	166 660	100 000
TOTAL	1 491 192	1 800 000
4. CTCN establishment and operations costs		
CTCN establishment and operations	963 355	1 600 000
AB Meetings and other UN meetings	110 552	100 000
TOTAL	1 073 907	1 700 000
GRAND TOTAL*	5 646 482	14 500 000

5 Years Budget Scenario

Main components	Year					
	2014	2015	2016	2017	2018	TOTAL
1. Technical assistance in response to country requests						
Requests coordination, refinement, support	350 000	1 100 000	2 000 000	2 700 000	2 900 000	9 050 000
Requests implementation	2 000 000	8 300 000	15 000 000	20 300 000	21 000 000	66 600 000
SUB-TOTAL	2 350 000	9 400 000	17 000 000	23 000 000	23 900 000	75 650 000
2. Outreach, networking and stakeholder engagement						
Outreach and Communication	50 000	300 000	500 000	500 000	500 000	1 850 000
Networking (including regional CTCN fora for NDEs)	600 000	800 000	1 000 000	1 000 000	1 000 000	4 400 000
Stakeholder Engagement	200 000	500 000	500 000	500 000	500 000	2 200 000
SUB-TOTAL	850 000	1 600 000	2 000 000	2 000 000	2 000 000	8 450 000
3. Knowledge Management, peer learning and capacity building						
KMS Technical Development	800 000	900 000	300 000	300 000	300 000	2 600 000
KMS Content Development	400 000	400 000	500 000	500 000	500 000	2 300 000
Capacity Building activities and material	250 000	400 000	600 000	700 000	700 000	2 650 000
SUB-TOTAL	1 450 000	1 700 000	1 400 000	1 500 000	1 500 000	7 550 000
4. CTCN establishment and operations costs						
CTCN operations	1 200 000	1 600 000	2 300 000	2 300 000	2 300 000	9 700 000
AB Meetings and other UN meetings	100 000	100 000	200 000	200 000	200 000	800 000
Monitoring and Evaluation	50 000	100 000	100 000	100 000	100 000	450 000
SUB-TOTAL	1 350 000	1 800 000	2 600 000	2 600 000	2 600 000	10 950 000
GRAND TOTAL	6 000 000	14 500 000	23 000 000	29 100 000	30 000 000	102 600 000

Funding Approaches

The CTCN continues to engage with current and new potential donors:

- ✓ Austria
- ✓ European Commission
- ✓ Finland
- ✓ France
- ✓ Germany
- ✓ Italy
- ✓ Japan
- ✓ Sweden
- ✓ Switzerland
- ✓ United Kingdom
- ✓ United States
- ✓ OPEC Fund
- ✓ GEF

Funding Approaches

- The Global Environment Facility (GEF) has approved a Project Information Form to support the CTCN
 - ✓ USD 1.8 million (from GEF-5 funds)
 - ✓ GEF procedures: full project proposal to be submitted to the GEF by 12 June 2015

- Scale-up
 - ✓ Full project proposal is expected to detail how this project will serve as a pilot to highlight possible options for future CTCN-related outputs to be further developed as GEF-6 projects with concrete mitigation benefits, using GEF country allocations

Key Points

- The CTCN still needs USD 65 million to achieve its funding target of \$100 million over its first five years of operation.
- Options for additional funding sources include:
 - ✓ additional donors to fund activities at scale in 2015 and beyond;
 - ✓ funding from the Financial Mechanism of the Convention;
 - ✓ clear burden-sharing process through assessed scale of contribution from Annex II countries of the Kyoto Protocol
- UNEP and UNIDO organized a CTCN donors and Annex I countries meeting, a meeting with Multilateral Development Banks, and developed a resource mobilization strategy
- Emphasis must be placed on the flexibility of donor funds to the CTCN.



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