

Accessing CTCN Technical Assistance

www.ctc-n.org
ctcn@unep.org

CTCN Forum for NDEs
of Central Asia and Eastern
Europe

15-16 June
Almaty, Kazakhstan



Objective of the Assistance: Removing Barriers to Technology Transfer

Technological	Financial	Institutional
<ul style="list-style-type: none"> • Limited capacity to assess, adopt, adapt and absorb technological options • Lack of knowledge of technology operation and management • Lack of skilled personnel/training facilities • Lack of standard and codes and certification 	<ul style="list-style-type: none"> • Lack of access to Financing • Potential lack of commercial viability • Lack of financial institutions to support climate technologies • Lack of instruments (incentive, risk mitigation mechanisms) 	<ul style="list-style-type: none"> • Uncertain governmental policies • Lack of infrastructure • Lack of information and awareness • Lack of consumer acceptance

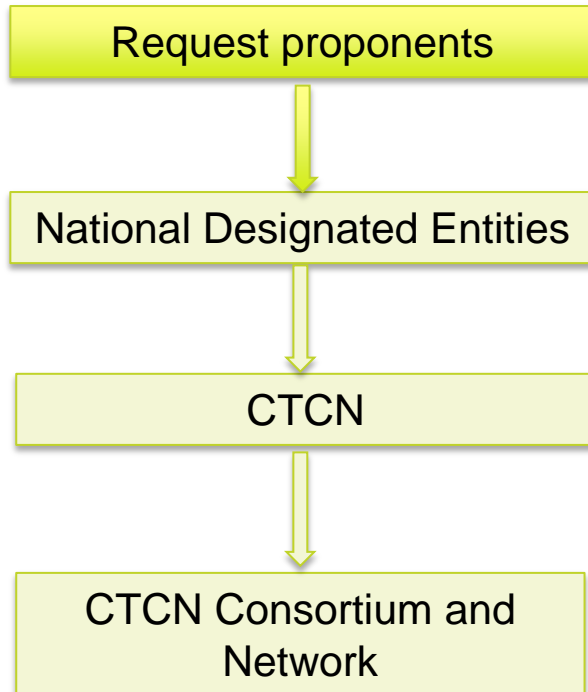


Fast and easy access

- User-friendly access: 4-pages submission, in all UN languages
- Appraisal of request 2 weeks
- Design of assistance to be implemented in a few months

Country-driven

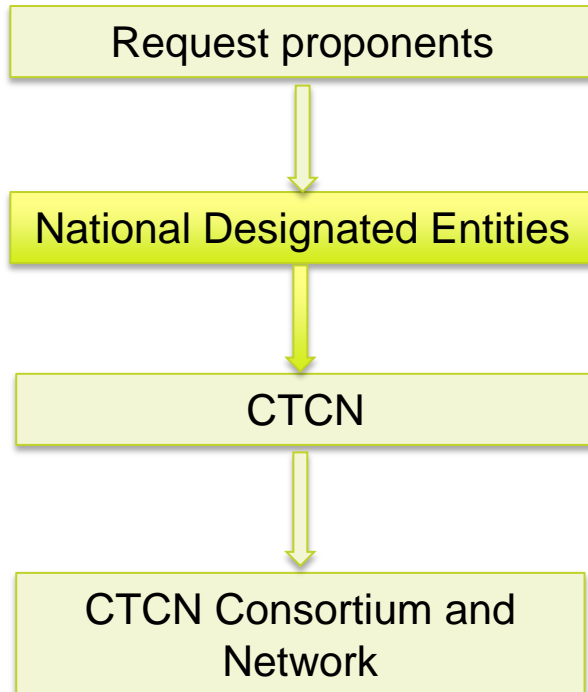
- Any organization from developing countries can express need (ministry, city, private company, research organization, etc.)
- Request must be in line with national priorities and signed by official focal point
- Assistance is designed together with the request applicant



Key role of NDE and UNFCCC focal point, and other stakeholders to raise awareness about opportunities offered by the CTCN in the country

Examples of request generation:

- Discussion and presentation of the CTCN in climate change related meetings and committees
- Information event on the CTCN
- National consultation to discuss requests
- Call for proposals to various actors
- Ongoing project seeking additional expertise
- Preparation of project in need of technical analysis and technology advice (ie. GCF proposal)



Once receives draft request, the NDE:

- Check request against criteria used by the CTCN to approve requests
- Ensure linkages with existing priorities
- Approve, as per national process
- Sign and send to ctcn@unep.org

Common request success factors:

- Willingness of request applicant to use the results produced by the assistance
- Strong political commitment,
- Funding interest and potential co-financing
- Identification of a specific area of intervention even in complex settings (specific barrier, specific type of technology)
- Technology focus (hard and soft)

The Request Template - Overview



Please fill in the form in the grey spaces, by following the instructions in italic.

Requesting country:

Request title:

Contact information:
(Please fill in the table below with the requested information. The request proposer is the organization that the request originates from, if different from the National Designated Entity (NDE).)

	National Designated Entity	Request Applicant
Contact person:		
Position:		
Organization:		
Phone:		
Fax:		
Email:		
Postal address:		

Technology Needs Assessment (TNA):
(Select one of the three boxes below.)

The requesting country has conducted a TNA in ... *(please insert date of TNA completion)*

The requesting country is currently conducting a TNA

The requesting country has never conducted a TNA

(If the requesting country has conducted a TNA, please indicate what climate technology activities this request directly relates to. Please indicate reference to TNA TAP/Project Ideas.)

CTCN Request Incubator Programmes:
(Please indicate if this request was developed with support from the Request Incubator Programmes.)

Yes

No

Geographical focus:
(Select below the most relevant geographical level for this request.)

Community-based

Sub-national

National

Multi-country

CTCN Technical Assistance Request Submission Form

... indicate here the areas

... industrial, transport, waste-man, health, education, water, evaluation, institutional

... relation to climate change, for the information already relevant to.

... been implemented in the country technical assistance is needed by this previous work.

... requested from the CTCN and by via the past and ongoing is not a project financing

... from the CTCN technical for adapt to climate change.

- Any request must be formulate in the CTCN request template
- Must be submitted and signed by the NDE
- Can be submitted in any UN languages
- Currently available in English, French and Spanish

The Request Template – Key Elements

Problem statement (up to one page):

{Please describe here the difficulties and specific gaps of the country in relation to climate change, for which the country is seeking support from the CTCN. Please only provide information directly relevant to this request, and that justifies the need for CTCN technical assistance.}

Past and ongoing efforts (up to half a page):

{Please describe here past and on-going processes, projects and initiatives implemented in the country to tackle the difficulties and gaps explained above. Explain why CTCN technical assistance is needed to complement these efforts, and how the assistance can link or build on this previous work.}

Assistance requested (up to one page):

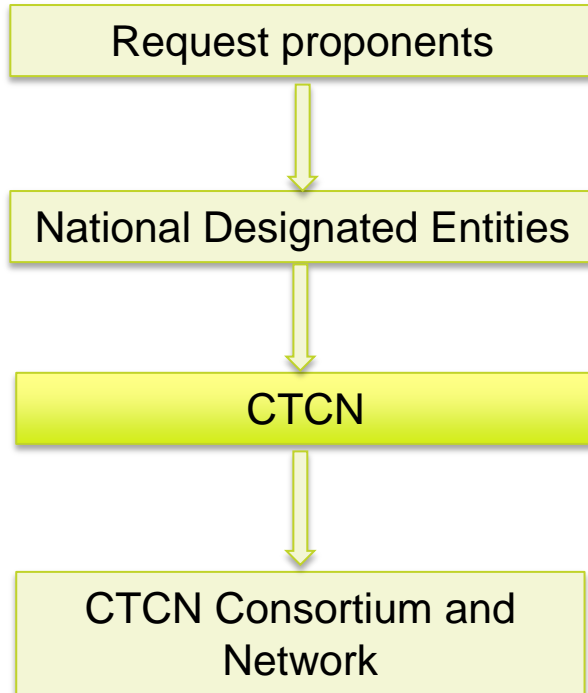
{Please describe here the scope and nature of the technical assistance requested from the CTCN and how this could help address the problem stated above and add value vis-à-vis the past and on-going efforts. Please note that the CTCN facilitates technical assistance and is not a project financing mechanism.}

Post-technical assistance plans (up to half a page):

{Please describe here how the results of the CTCN technical assistance will be concretely used by the applicant and national stakeholders, to pursue their efforts of resolving the problems stated above after the completion of the CTCN intervention (list specific follow-up actions that will be undertaken).}

Alignment with national priorities (up to half a page):

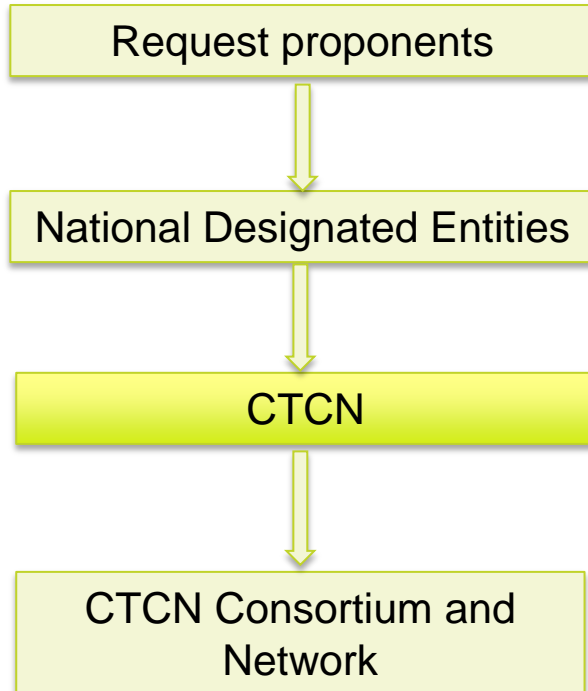
{Please demonstrate here that the technical assistance requested is consistent with documented national priorities (examples of relevant national priorities include: national development plans,



Once receives official request, the CTCN:

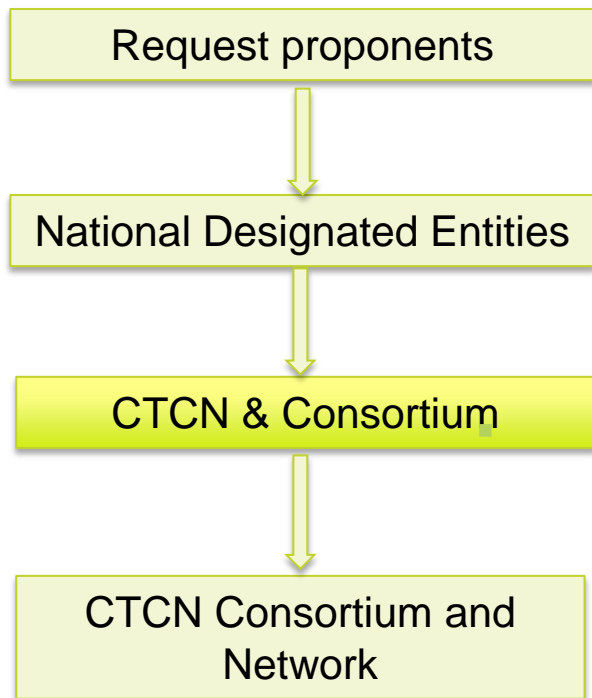
- 1) Acknowledges request
- 2) Asks for clarification if needed
- 3) Assesses request against eligibility criteria
 - Contribute to increase resilience and/or mitigate emissions
 - Aligned with national plans
 - Strengthen national capacities
 - Processes are in place in the country to monitor and evaluate any support provided
- 4) Assesses request prioritization and balancing criteria





- Demonstrate “**project readiness**”
- Demonstrate potential for **replication** or **scaling up** nationally, regionally, and internationally
- **Leverage** public and/or private **financing**
- Promote **endogenous** and **most appropriate** technologies and processes
- Promote and demonstrate **multiple benefits**, as well as social, economic, and environmental sustainability
- Promote **gender equality**, and empowerment of vulnerable groups, including women and youth.
- Promote **collaboration among stakeholders**, including between countries, and having elements of South – South, bilateral, or multilateral cooperation
- Promote **multi-country approaches** and the regional bundling of requests

+ balancing criteria (regions and thematic)

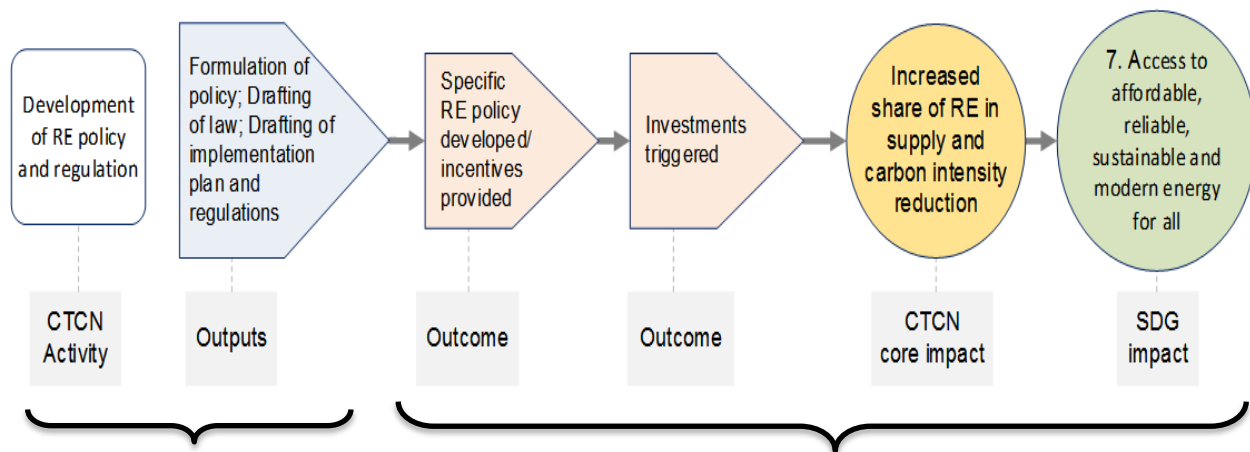


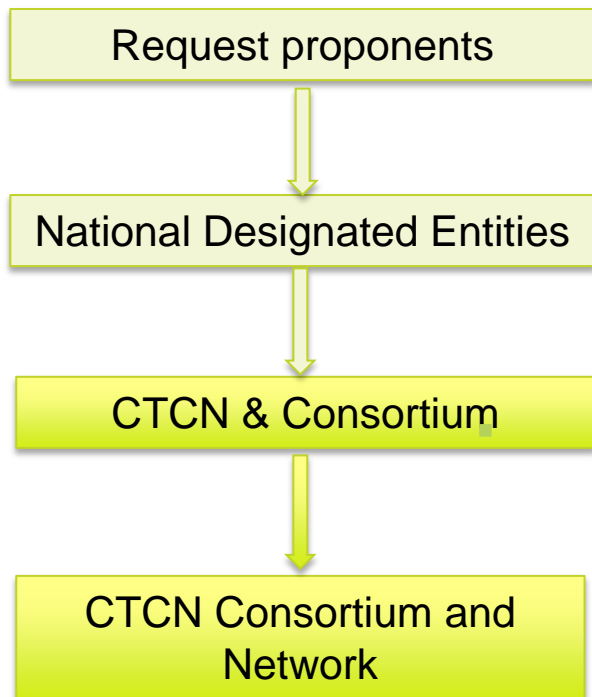
Once request is eligible and prioritized:

- The CTCN forms the team of expert that will design the Terms of reference of the assistance – the ‘Response Plan’
- The team of expert develop plan in coordination with NDE and request applicant
- Once finalized the response plan is signed by the request applicant, the NDE, and the CTCN Director

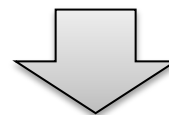
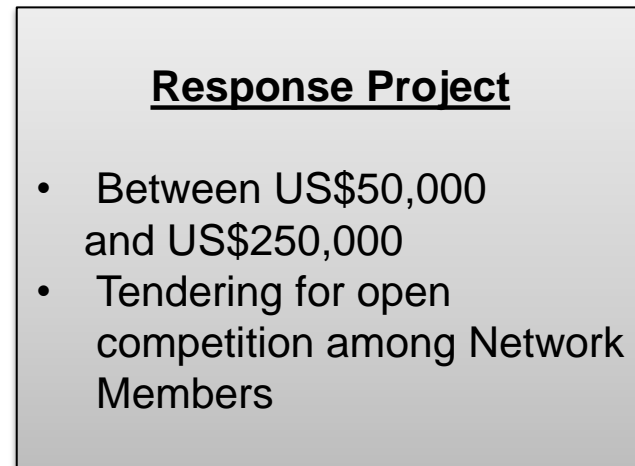
Converting brief request into an action plan:

- Clarify strategic barrier and challenge to be addresses
- Activities, timeline, main stakeholders
- Expected impacts and use of the assistance





Once response plan is signed by all Parties, the CTCN select the organization with the most appropriate expertise to answer the country need



Start of the implementation

Monitoring of Assistance Results and Impacts

At Request Submission:

- ✓ Eligibility criteria 3

Response Plan Design:

- ✓ Results framework with indicators

Response Plan Implementation:

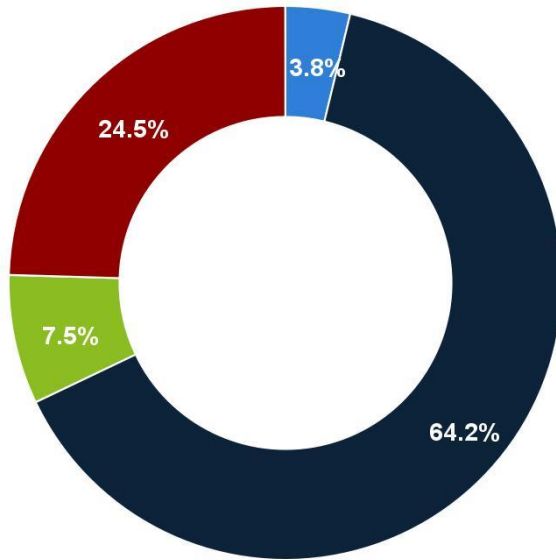
- ✓ Communicate learning and impact
- ✓ Coordination with NDE, proponents, implementers, CTCN

Response Closure:

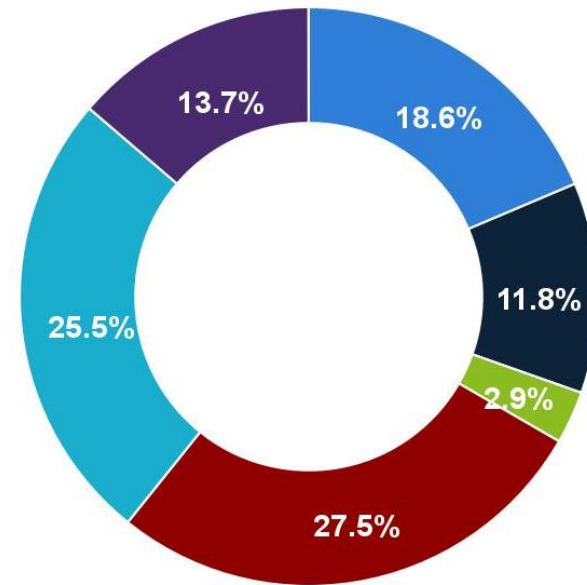
- ✓ At response closure: feedback form
- ✓ Delivery and impact review
- ✓ Communication learning and impact

- Ensure high quality and result-based services from CTCN to countries
- Demonstrate that technical assistance has effectively led to larger scale actions and reached its expected impacts
- Ensure internal learning and improvement
- Respond to COP reporting requirements for accountability and transparency
- Support countries in demonstrating achievements on climate technologies as part of their reporting requirements to the UNFCCC

108 requests submitted as of 1st June 2016



■ Regional multi-country
 ■ National
 ■ Community-based
 ■ Sub-national



■ Policy, planning and law
 ■ Project readiness and facilitating financing
■ Research and development
 ■ Technology feasibility, piloting and deployment
■ Technology identification and selection
■ Training, awareness raising and sharing experience

What are YOUR needs?

Thank you



CLIMATE TECHNOLOGY CENTRE & NETWORK



Norwegian Ministry
of Foreign Affairs



European
Commission

UDENRIGSMINISTERIET

DANIDA

Canada



METI
Ministry of Economy, Trade and Industry



環境省

Ministry of the Environment



MINISTRY FOR FOREIGN
AFFAIRS OF FINLAND



Comhshaol, Pobal agus Rialtas Áitiúil
Environment, Community and Local Government

Governments of Switzerland
and Germany

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