

Terminal Evaluation of the UNEP Projects

Joint UNEP-UNIDO Programme to host and manage the Climate
Technology Centre and Network (PIMS 01626)

and

Support to Climate Technology Transfer Services and Partnership
(EU Contract DCI-ENV/2016/377-145)

01/2024

Evaluation Report and Status of Recommendation Implementation Plan
CTCN Advisory Board Meeting #26

CTCN Advisory Board Meeting #26

SCOPE AND PURPOSE OF THE EVALUATION

Scope:

- Evaluand: Two UNEP projects **“Joint UNEP-UNIDO Programme to host and manage the Climate Technology Centre and Network”** (PIMS 01626) and **“Support to Climate Technology Transfer Services and Partnership”** (EU Contract DCI-ENV/2016/377-145)
- Evaluation covered the period from 2013 up to end of 2022 with emphasis on 2016-2022.

Purpose*:

- Source of accountability;
- Contribute to learning;
- Generate evidence, and;
- Support evidence-based decision making, operational improvement and knowledge sharing.

EVALUATION APPROACH AND METHODS

Approach

- Terms of Reference
- Assessment against 9 evaluation criteria and sub-categories, 6-point rating scale and weighted aggregation.
- Main data collection: August 2023-January 2024; evaluation report published May 2024

Methodology

- Key considerations: Different results frameworks (UNEP project / EC Grant). Several revisions.
- Dual track approach: 1) Progress towards the outcomes – i.e. theory-based evaluation (*Strategic/global level*) and 2) Focus on the opposite direction in terms of results – i.e. outcome harvesting (*Country results*).

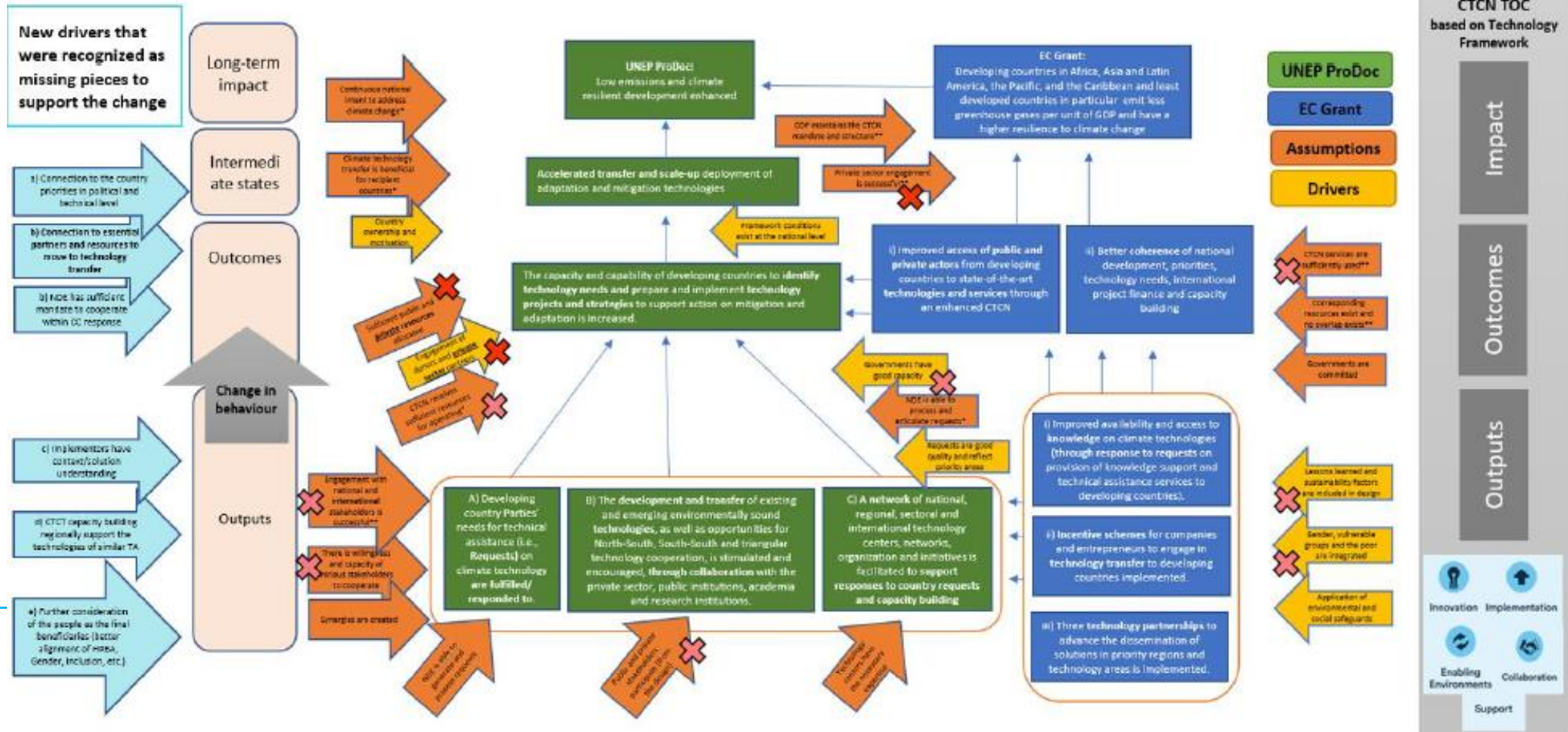
Data collection sources

- Desk review
- Sub-project portfolio review of Technical Assistance (TA), networking, information and capacity building services
- Key informant interviews (44 global level KII:s)
- Country visits (6): Antigua and Barbuda, Chile, Laos, Malawi, Thailand and Zambia, Thailand (124 in country KIIs) and sampling of sub-projects (22 sub-projects on TA)
- Visit to AB meeting held in Bonn

Resources

- Evaluation Reference Group = 2 AB vice-chairs, 2 Consortium partners, 1 National Designated Entity (Thailand), 1 Technology Executive Committee, 1 Network, 2 Donor (EU), 2 UNEP, CTCN Secretariat (ex-officio)
- Evaluation Team: 3 consultants working with the EO Evaluation Manager

THEORY OF CHANGE AT EVALUATION



KEY EVALUATION DELIVERABLES

- **Reconstructed Theory of Change**
- **Performance assessment:** Overall performance rated at the **'Moderately Satisfactory'** level.
- **Availability of outputs:** The availability of outputs is **'Satisfactory'**, as the outputs are mainly delivered well, but could also benefit from further strategic consideration.
- **Achievement of project outcomes:** The achievement of the outcome is **'Moderately Satisfactory'**, as it has made progress towards the main outcome. However, the achievement is uneven, and the assumptions and drivers hold only partially.
- **Likelihood of impact:** The likelihood of impact was **'Moderately Likely'**. Good examples exist, but they are case by case, rather than a result of a systematic practice, which require a strong and stable partnerships approach.
- Strategic input through responses to 6 **Key Strategy Questions**
- **6 Recommendations** and **Management Response**

EVALUATION RECOMMENDATIONS AND STATUS

Focus: Challenge/ Problem to be addressed and the Recommendation

- **INTERVENTION LOGIC Recommendation 1:** CTCN Secretariat and UNEP are recommended to ***align the UNEP project document to the theory of change (TOC) of the Technology Framework***, make the target areas flexible for new mandates and to consider the observations of the TOC at Evaluation (in relation to the assumptions and drivers) in its planning.
- **PARTNERS AND RESOURCES Recommendation 2:** Clear, strategic, systematic and transparent ***partnerships should be developed at global, regional and country levels*** focusing on all stakeholder groups and strengthened resources (financial and human) in line with the decision 2/CP.17 paragraph 139 on financing, to enable the CTCN catalytic effect and likelihood of impact.
- **SUPPORT TO DIVERSE COUNTRIES AND NEEDS Recommendation 3:** CTCN should strengthen ***systematized interaction, information exchange and impact monitoring between the CTCN Secretariat, NDEs, and other regional/local partners*** on the contextual needs/drivers, to support the design of targeted and fit for purpose country-level support in line with the context-specific drivers of the technology transfer.

Note: All recommendations 'Accepted' and implementation progress tracked by the Evaluation Office over a 12 month-period.

EVALUATION RECOMMENDATIONS AND STATUS

- **MER + LEARNING Recommendation 4:** Sufficient *resources and support should be allocated to implement the existing monitoring and evaluation system*, while ensuring that there is sufficient alignment and/or integration of the monitoring and evaluation approaches with multiple accountability requirements and learning needs.
 - **EFFICIENCY Recommendation 5:** Efficiency should be enhanced through *improved TA processes and their connection to the other outputs and higher-level changes*.
 - **HUMAN RIGHTS AND GENDER EQUALITY Recommendation 6:** Integrate the new **CTCN Gender Policy into the core of operations**, including via systematic gender analysis, capacity strengthening at the country level, gender-sensitive communication into indicators and monitoring, communication, gender analysis and capacity strengthening at the country level., and specific gender targets and indicators in the monitoring & evaluation framework.
-

Recommendation 1: INTERVENTION LOGIC

CTCN Secretariat and UNEP are recommended to align the UNEP ProDoc to the TOC of the Technology Framework, make the target areas flexible for new mandates and to consider the observations of the TOC at Evaluation (in relation to the assumptions and drivers) in its planning.

CTCN response:

The logical framework of the UNEP ProDoc was revised in 2024 to realign with the Technology Framework and clarified to facilitate effective reporting of the project in UNEP reporting system IPMR.

The CTCN reported on all indicators in the Joint Annual Report of the UNFCCC Technology Mechanism (JAR) and in IPMR on the basis of the JAR. IPMR reporting indicators are fully aligned with the indicators in the JAR.

Recommendation 2: PARTNERS AND RESOURCES

Place strong effort in the clear, strategic, systematic and transparent partnerships development at global, regional and country levels, including all stakeholder groups and strengthened resources (financial and human) in line with the decision 2/CP.17 paragraph 139 on CTCN financing, to enable the CTCN catalytic effect and likelihood of impact.

CTCN response:

- A task force on RMP was established in 2023 to develop a strategy to focus CTCN efforts on partnership and resource mobilization
- The CTCN RMP strategy was adopted by the Board at AB 23
- Recruitment of RMP specialist
- Taskforce will continue guiding the work of CTCN in implementing the RMP strategy

Recommendation 3: SUPPORT TO DIVERSE COUNTRIES AND NEEDS

Strengthen systematized interaction, information exchange and impact monitoring between the CTCN Secretariat, NDEs, and other regional/local partners on the contextual needs/drivers, to support the design of targeted and fit for purpose country-level support in line with the context-specific drivers of the technology transfer.

CTCN response:

- Annual regional forums, engaging not only NDEs but also network members including those from the respective regions, funding entities, etc
- Capacity building workshops and programmes
- Logistical support for NDEs
- NDEs survey every 2 years
- Engaging NDEs and network members in CTCN events at SBs, COPs and other fora
- Post-implementation forms
- Network engagement strategy

Recommendation 4: MER & LEARNING

Ensure sufficient resources and support to implement the existing monitoring and evaluation system, while ensuring that there is sufficient alignment and/or integration of the M&E approaches with multiple accountability requirements and learning needs.

CTCN response:

- Revise M&E plan to include impact-focus indicators
- Monitoring at TA level is being done through various means (closure report, NDE survey, feedback through NDE for a, post-implementation form)
- Strengthen capacities of regional managers of CTCN to support Director, to oversee M&E of technical assistance in the regions

Recommendation 5: EFFICIENCY

Enhance the efficiency through improved TA processes and their connection to the other outputs and higher-level changes.

CTCN response:

- Annual regional forums back to back with Capacity building workshops and programmes
- Connection to UNFCCC Climate Weeks, to the extend possible
- NDEs survey and feedback to improve TA process
- NDE logistical support
- Programmatic approaches
- Provider of GCF readiness programme

Recommendation 6: HUMAN RIGHTS AND GENDER EQUALITY

Integrate the new CTCN Gender Policy into the core of operations, including via systematic gender analysis, capacity strengthening at the country level, gender-sensitive communication, and specific gender targets and indicators in the monitoring & evaluation framework.

CTCN response:

2024 Gender Assessment and Action Plan to enhance integration of gender aspects by setting requirements implementing entities of TA, collecting gender data, reporting on gender in its annual reporting and developing gender guidance issue papers in two CTCN priority areas

Thank you

CTCN Evaluation Report and Management Response are available at:
<https://wedocs.unep.org/0.500.11822/45568>

United Nations Avenue, Gigiri
PO Box 30552 – 00100 GPO Nairobi, Kenya

www.unep.org/evaluation-office