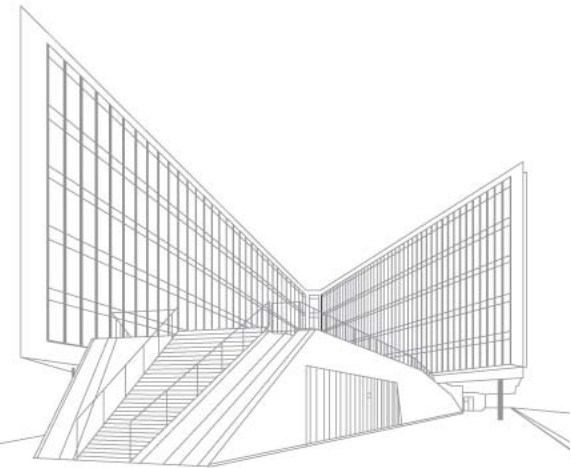


Agenda Item 7c
Criteria for
prioritizing
countries' requests
to the CTCN



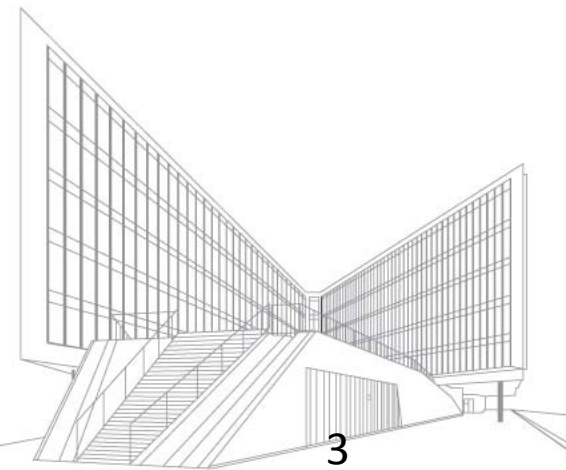
Prioritization criteria

- 1. Basis for proposed criteria.**
- 2. Possible prioritization criteria.**
- 3. Some principles for criteria.**
- 4. Some further considerations.**



Scope of prioritization criteria paper

- The paper **explains the process** of developing Prioritization Criteria for country requests, and **presents possible Criteria** to the Advisory Board.
- The Board may wish to:
 - 1. Discuss and provide guidance on the Prioritization Criteria.**
 - 2. Approve the Prioritization Criteria.**



COP decisions

➤ 2/CP.17 Annex VII

Requests the CTCN [Advisory Board](#) to provide guidance on:

“[Prioritization criteria](#), taking into account the strategic considerations and recommendations provided by the TEC in relation to decision 1/CP.16, paragraph 120”.

➤ 1/CP.16, paragraph 120: “[Priority areas](#) to be considered may include:

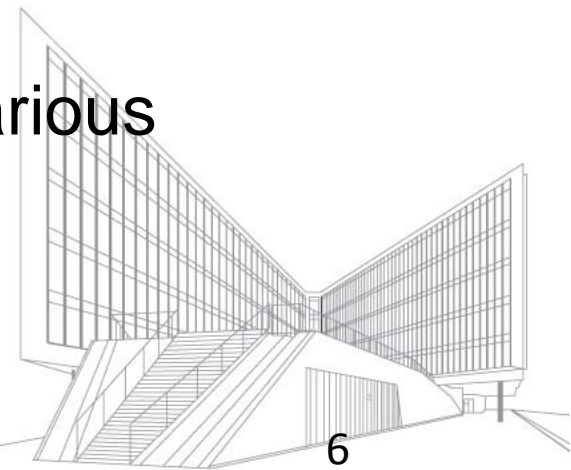
- Development and implementation of [national technology plans](#) for mitigation and adaptation
- Deployment and diffusion of environmentally sound [technologies](#) and [knowhow](#), both, hard and soft for [adaptation and mitigation](#)
- Development and enhancement of the [endogenous](#) capacities and technologies, and strengthening of national [systems of innovation](#) and technology innovation centres
- Increased public and private [investment](#).

Basis for proposed prioritization criteria

- **Proposed Prioritization Criteria based on:**
 - ✓ Discussions at **first Advisory Board meeting**.
 - ✓ Recommendations provided by the TEC, based on **TEC meeting reports**.
 - ✓ **Regional Experts Dialogues** in Asia, Africa, and Latin America.
 - ✓ **TNA reports** prepared by 35 countries presenting prioritized sectors and technologies.

Major barriers

- Lack of **availability** of technologies in the broad sense.
- **Poor** policy frameworks and enabling conditions.
- Lack of **access** to information and data.
- **Weak** technology innovation capacity.
- **Difficulties** in obtaining financing.
- **Poor awareness** and engagement of various stakeholders.



Priorities

- **Adaptation**

- ✓ **Priority sectors:** agriculture, water, tourism and cities
- ✓ Focus on **key ecosystems:** coastal zones, mountains, flood plains and deltas, arid zones, and cities

- **Mitigation**

- ✓ **Priority sectors:** energy (RE and EE), transport and forestry (carbon sinks)

- **Nexus between adaptation and mitigation**

- ✓ **Priority sectors:** agriculture, transport and cities

- **Technologies**

- ✓ Proven and easily deployable technologies

Broad list of possible criteria (1)

1. Requests focusing on **non-controversial or risky** technologies.
2. Requests having potential for **replication / scale-up**.
3. Requests supporting **SMEs**.
4. Clear link to **national priorities** (demonstration of country ownership).
5. **Requests leading to** high impact interventions / requests having strong **co-benefits** (e.g. job creation/poverty reduction, food security...).
6. Requests targeting **high-risk areas** and/or **vulnerable groups**.
7. Evidence of **stakeholder consultation** in selecting the request.

Broad list of possible criteria (2)

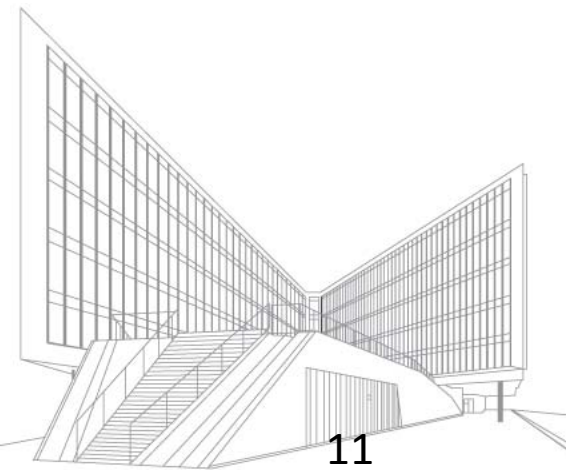
8. Multi-country requests.
9. Requests from LDCs.
10. Requests with national partner supporting implementation (on-the-job capacity building).
11. Request with a clear follow-up plan/demonstrated potential for follow-up actions to be funded (potential co-finance).
12. Balance: between adaptation and mitigation; regions; sectors.
13. Proposals that include co-funding
14. Readiness for implementation and absorptive capacity of the proponent
15. Requests that enhance cooperation among countries, particularly through south-south cooperation

Possible prioritization criteria

- ✓ **Technologies:** prioritize soft and hard proven technologies with **significant replication potential** and foster south-south **cooperation**.
- ✓ **Impacts:** prioritize requests with **greater impacts** – climate change, longer term capacity in countries, and **increased investment** by the public and private sectors.
- ✓ **National Commitment:** prioritize requests with strong alignment with **national goals and priorities**, and that are backed by existing analysis and studies.
- ✓ **Non-duplication of funding sources:** prioritize requests with **no other sources** of funding, or that strongly complement projects funded by other sources of funding.

Some principles to guide decisions

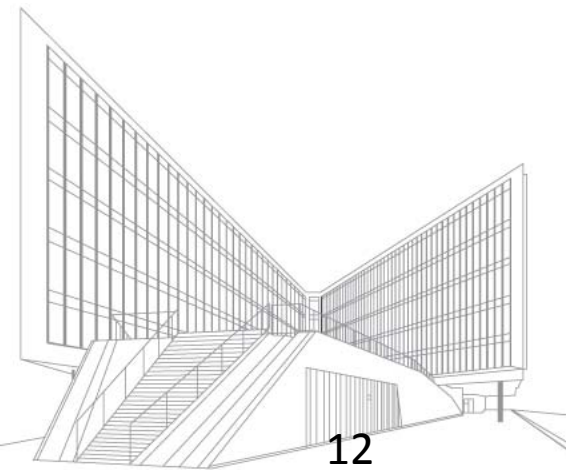
- **Technological balance: mitigation and adaptation.**
- **Geographical equity.**
- **Political commitment (e.g. TNAs, national plans).**
- **Special consideration to LDCs.**



Further considerations

For start up phase of CTCN:

- **Technologies:** prioritize deployment and diffusion of **existing technologies**.
- Possible sectors :
 - **Adaptation:** sustainable **agriculture** and **water** management
 - **Mitigation:** **energy** efficiency, renewable energy, and **transport**.





CTCIN

CLIMATE TECHNOLOGY CENTRE & NETWORK