

<b>Summary on progress</b>																							
Network Status and other activities related to membership application	<p><b>I. <u>Network Membership application:</u></b></p> <ul style="list-style-type: none"> <li>• 20 applications received by the end of August 2014 (see Annex I for the list of applicants)</li> <li>• 17 members as of September 2014</li> <li>• 2 applications under review</li> <li>• 1 application declined</li> <li>• Around 10 applications in pipeline for submission in the coming months</li> </ul> <p>Geographical distribution of the applications is presented in Table 1 below.</p> <p style="text-align: center;"><i>Table 1. Geographical distribution of the applications</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th style="text-align: left;"><b>Annex I</b></th> <th style="text-align: right;"><b>8</b></th> </tr> </thead> <tbody> <tr> <td style="padding-left: 20px;">Asia</td> <td style="text-align: right;">4</td> </tr> <tr> <td style="padding-left: 20px;">EU</td> <td style="text-align: right;">2</td> </tr> <tr> <td style="padding-left: 20px;">North America</td> <td style="text-align: right;">1</td> </tr> <tr> <td style="padding-left: 20px;">Oceania</td> <td style="text-align: right;">1</td> </tr> <tr> <td style="text-align: left;"><b>Non Annex I</b></td> <td style="text-align: right;"><b>6</b></td> </tr> <tr> <td style="padding-left: 20px;">Africa</td> <td style="text-align: right;">1</td> </tr> <tr> <td style="padding-left: 20px;">Asia</td> <td style="text-align: right;">3</td> </tr> <tr> <td style="padding-left: 20px;">West Asia</td> <td style="text-align: right;">1</td> </tr> <tr> <td style="padding-left: 20px;">LAC</td> <td style="text-align: right;">1</td> </tr> <tr> <td style="text-align: left;"><b>International</b></td> <td style="text-align: right;"><b>6</b></td> </tr> </tbody> </table>	<b>Annex I</b>	<b>8</b>	Asia	4	EU	2	North America	1	Oceania	1	<b>Non Annex I</b>	<b>6</b>	Africa	1	Asia	3	West Asia	1	LAC	1	<b>International</b>	<b>6</b>
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<p>Outcomes of the 3<sup>rd</sup> Advisory Board meeting</p>	<p><b>II. <u>Network Criteria and Transparency</u></b></p> <p>One Network criterion related to “pending judicial processes” was brought to the attention of the Advisory Board at its 3<sup>rd</sup> meeting as a challenge for applicant organizations, particularly those in the private sector. In accordance with the decision of the Advisory Board, this criterion was removed and the Network application form was modified to strengthen the accountability of Network applicants. The change was also reflected in the Network information note. Since the change in this Network criterion, no further concerns have been brought to the attention of the CTCN regarding the issue of an organization’s qualifications vis a vis historical legal performance.</p> <p>To ensure transparency, a list of Network members is posted on the website. The list is updated upon approval of new Network members and includes a brief profile on the Network member.</p>
<p>Ongoing Network outreach activities and activities planned for immediate future</p>	<p><b>III. <u>Network Development:</u></b></p> <p>Network application is open to all organizations. However, to quickly and effectively expand the network, a proactive multi-pronged approach is being taken to increase membership applications to enhance the overall capacity of the Network as well as to strengthen specific areas of technical and regional expertise.</p> <p>a. <i>Targeted outreach:</i> With the assistance of partner organizations, an approach was initiated that focused on identifying and directly contacting potential members.</p> <p>Step 1. Through regional offices of UNEP and UNIDO, consortium partners, current Network members and other regional partners and contacts, key organizations in the climate change space were suggested to the CTCN.</p> <p>Step 2. Through these regional contacts, potential members that were deemed to be strong candidates for Network membership were identified based on expertise, experience, and a proven track record).</p> <p>Step 3. The CTCN contacted the identified candidates to directly provide information on the CTCN and to make them aware of Network membership opportunities. See Annex II for the list of institutions contacted to date.</p> <p>Step 4. Follow-up actions will involve providing a reminder to potential members on the Network membership opportunity and notification of revisions to the application form. Furthermore, the CTCN will maintain</p>

	<p>regular communications with the regional contacts to seek additional recommendations on potential members.</p> <p>b. <i>Extended outreach:</i> through regional partners mentioned above, broader group of organizations were contacted to promote network membership. In addition, efforts are made by the CTCN through its activities and through regional partners/ contacts to promote network membership at various workshops and conferences.</p> <p>c. Network Gap analysis is planned to be conducted regularly; first analysis was conducted in July 2014 but provided limited significance due to the small number of Network members and requests received by then. Gap analyses will take into consideration the trend in the demand based on the technical assistance requests received. The outcome will be used for targeted outreach.</p>
	<p><b>IV. <u>Network engagement:</u></b></p> <p>The first Network meeting is scheduled to be held on 10 October 2014 to gather together existing and potential network members in a forum to provide an update on the status of the Network and related operations as well as to brainstorm member engagement in the CTCN. The meeting objectives are:</p> <ul style="list-style-type: none"> <li>• To present the purpose of the CTN and its services including the technical assistance and advice to be offered to NDEs, giving examples of the benefits of being a Network member.</li> <li>• To present to members and prospective members the CTCN process for handling NDE requests with a specific focus on Network involvement and the process for selecting Network members to implement response plans as a result of country requests.</li> <li>• To present and discuss other activities, roles and responsibilities of Network members such as being a climate technology champion and contributing resources and tools to the CTCN’s Knowledge Management System.</li> <li>• To promote networking activities by and between Network members.</li> </ul>
<p>Lessons learnt and next steps</p>	<p><b>V. <u>Assessment of application for fulfilment of criteria</u></b></p> <p><b>Observations made on application trend</b></p>

Applications were received from a wide range of institutions in terms of the institutional set up, services offered and areas of expertise (see Figure 1 for the ratio of types of institutions). This implies that the interests and modality of engagement of the members are also diverse. Modalities of member engagement will be further investigated through interaction with each network member at the Network meeting (first meeting on 10 October 2014). Current composition of the network can be found in Annex I of this document.

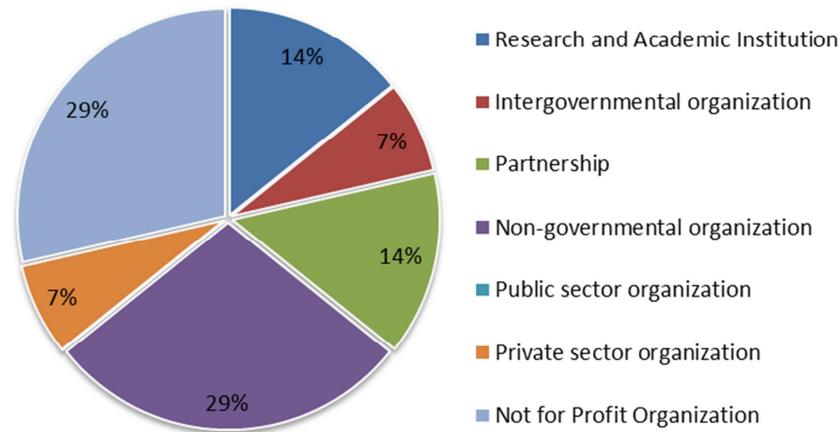


Figure 1. Network composition by type of institution

**Observations made on Network criteria**

Challenges in assessing fulfilment of Criteria 2 and 3: Criterion 2 requires the applicant organizations to demonstrate “capability in initiatives aimed at development, transfer and deployment of climate technologies applicable for developing ...”; Criterion 3 requires the applicant organizations to demonstrate “operational and organizational stability....”. Due to the diversity in the types of institutions applying for membership, it is difficult to measure the technical expertise. Furthermore, satisfactory level of capacity and stability depends on the level and modality of member’s engagement.

**Proposed solution:** the CTCN will further observe the membership trend and investigate the diversity in the level and modality of engagement among the members, based on which the CTCN will prepare an internal “assessment guidelines” for Criteria 2 and 3 per different modality and extent of engagement.

Other Criteria

- *Criterion 1* (Institutional set up) raised no major concern. “Not-for-profit organization” has been a common institutional type indicated under “other”, thus will be added as an option in the application form.
- *Criterion 5* (Compliance with the Code of Conduct) raised no concern. “Declaration” section of the application will be made an independent form that authorizes all documents submitted as part of the application, including additional information provided upon request after the receipt of initial application.

The CTCN continues to monitor the effectiveness of the Network criteria and bring to the attention of the Advisory Board should their intervention be necessary.

**Other observations:** Variation in the interpretations of sectors and services was noticeable. Glossary of terms of the CTCN is necessary.

Linkage with procurement process will be sought, particularly categorizing members per expertise and area of interest to facilitate the call for tender process. Necessary adjustment of membership registration process to accommodate the linkage will be implemented once the categorization of members has been completed.

**Membership Renewal:** planning for the membership renewal process (procedure, criteria etc.) will commence in 2015.