Accessing CTCN Technical Assistance

www.ctc-n.org
ctcn@unep.org

CTCN Forum for NDEs
of West Asia

3-4 April 2016
Cairo, Egypt

Objective of CTCN Services: Removing Barriers to Technology Transfer

Technological	Financial	Institutional
• Limited capacity to assess, adopt, adapt and absorb technological options • Lack of knowledge of technology operation and management • Lack of skilled personnel/training facilities • Lack of standard and codes and certification	• Lack of access to financing • Potential lack of commercial viability • Lack of financial institutions to support climate technologies • Lack of instruments (incentive, risk mitigation mechanisms)	• Uncertain governmental policies • Lack of infrastructure • Lack of information and awareness • Lack of consumer acceptance

Overview of CTCN Technical Assistance

Fast and easy access

- User-friendly access: 4-pages submission
- Appraisal of request 2 weeks
- Design of assistance to be implemented in a few months

Country-driven

- Any organization from developing countries can express need (ministry, city, private company, research organization, etc.)
- Request must be in line with national priorities and signed by official focal point of the CTCN
- Assistance is designed together with the request applicant, in collaboration with the NDE

The Assistance Process Step-by-Step

Request proponents

1- Formulate needs in a form of a draft request

National Designated Entities

2- Prioritize, endorse and submit request

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3- The CTCN:

- Assess eligibility and prioritization of request

- Design assistance (response plan) with experts and country

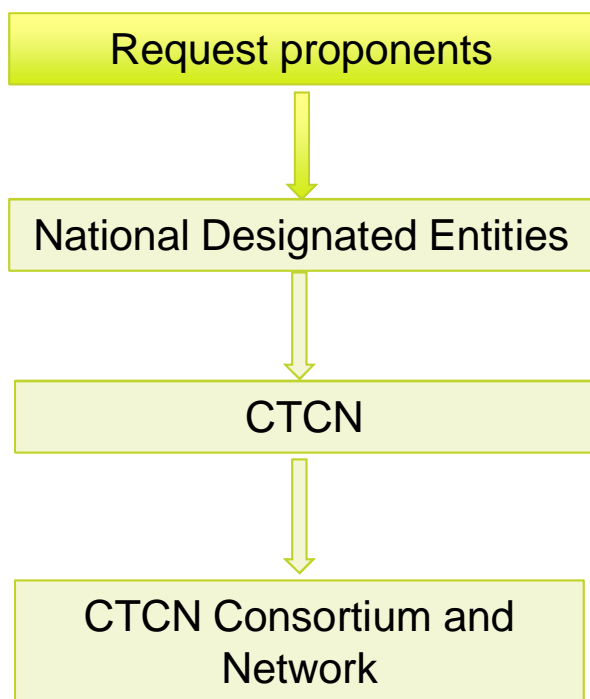- Select the best expert organization to implement the assistance

CTCN Consortium and Network

4- Deliver assistance

Request Generation

Request proponents

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National Designated Entities

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Key role of NDE, UNFCCC focal point and other key institutions, to raise awareness about opportunities offered by the CTCN in the country

Examples of request generation:

- Discussion and presentation of the CTCN in climate change related meetings and committees

- Information event on the CTCN

- National consultation to discuss requests

- Call for proposals to various actors

- Ongoing project seeking additional expertise

- Preparation of project in need of technical analysis and technology advice (ie. GCF proposal)

Request Submission

Request proponents

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National Designated Entities

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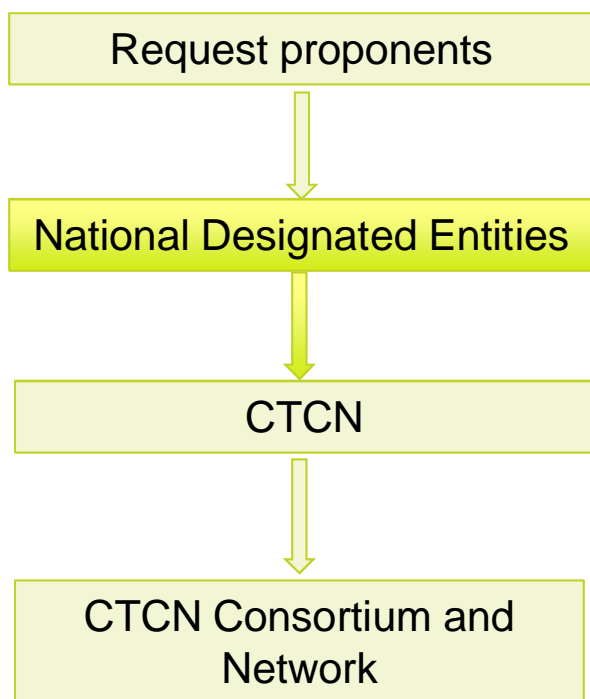
Once receives draft request, the NDE:

- Check request against criteria used by the CTCN to approve requests
- Ensure linkages with existing priorities
- Approve, as per national process
- Sign and send to ctcn@unep.org

Common request success factors:

- Willingness of request applicant to use the results produced by the assistance
- Strong political commitment
- Funding interest and potential co-financing
- Identification of a specific area of intervention even in complex settings (specific barrier, specific type of technology)
- Technology focus (hard and soft)

The CTCN team is available to discuss request informally before submission!

The Request Template - Overview

- Any request must be formulate in the CTCN request template

- Must be submitted and signed by the NDE
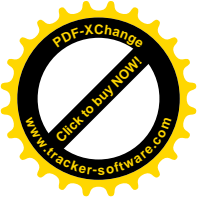
The Request Template – Key Elements
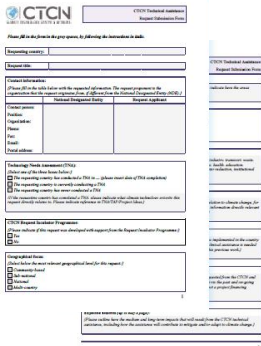
Problem statement *(up to one page):*

{Please describe here the difficulties and specific gaps of the country in relation to climate change, for which the country is seeking support from the CTCN. Please only provide information directly relevant to this request, and that justifies the need for CTCN technical assistance.}

Past and ongoing efforts *(up to half a page):*

{Please describe here past and on-going processes, projects and initiatives implemented in the country to tackle the difficulties and gaps explained above. Explain why CTCN technical assistance is needed to complement these efforts, and how the assistance can link or build on this previous work.}

Assistance requested *(up to one page):*

{Please describe here the scope and nature of the technical assistance requested from the CTCN and how this could help address the problem stated above and add value vis-à-vis the past and on-going efforts. Please note that the CTCN facilitates technical assistance and is not a project financing mechanism.}

Post-technical assistance plans *(up to half a page):*

{Please describe here how the results of the CTCN technical assistance will be concretely used by the applicant and national stakeholders, to pursue their efforts of resolving the problems stated above after the completion of the CTCN intervention (list specific follow-up actions that will be undertaken).}

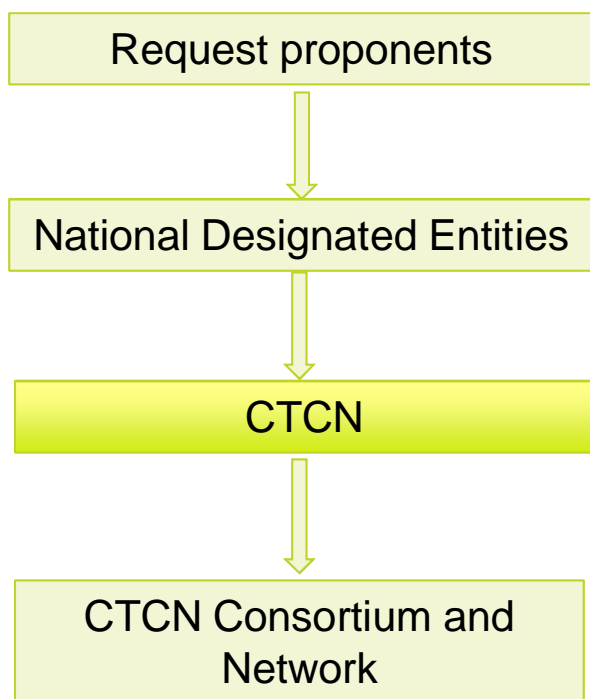**Alignment with national priorities** *(up to half a page):*

{Please demonstrate here that the technical assistance requested is consistent with documented national priorities (examples of relevant national priorities include: national development plans,

UNEP

UNIDO

CTCN Appraisal of the Request

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│      Request proponents         │
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│  National Designated Entities   │
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│    CTCN Consortium and          │
│    Network                      │
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Once receives official request, the CTCN:

1) Acknowledges request

2) Asks for clarification if needed

3) Assesses request against eligibility criteria

   o Contribute to increase resilience and/or mitigate emissions

   o Aligned with national plans

   o Strengthen national capacities

   o Processes are in place in the country to monitor and evaluate any support provided
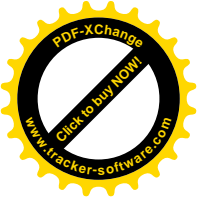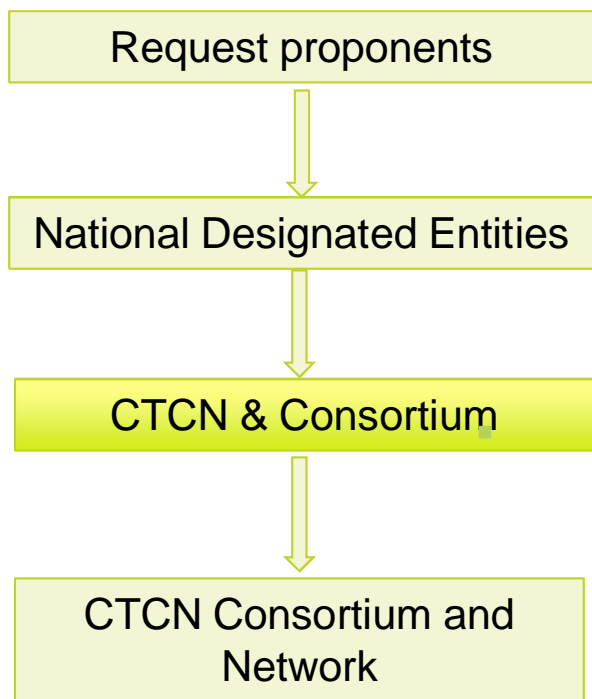
4) Assesses request prioritization and balancing criteria

CTCN Prioritization Criteria

Request proponents

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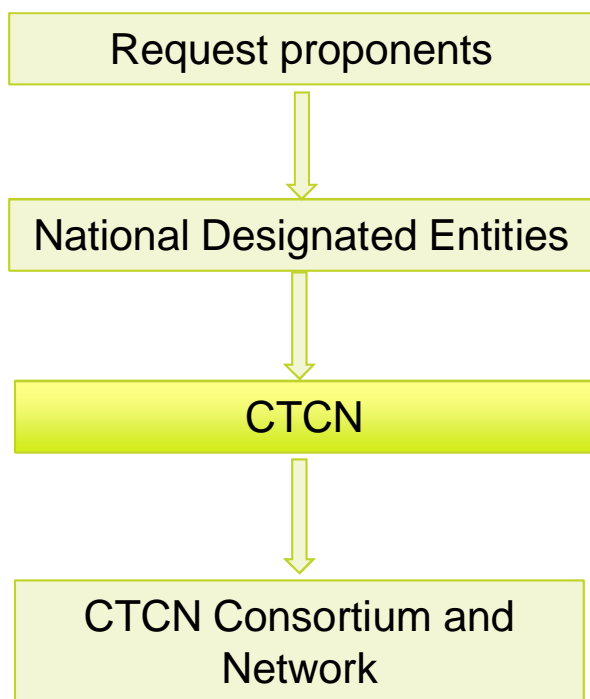
- Demonstrate "**project readiness**"

- Demonstrate potential for **replication** or **scaling up** nationally, regionally, and internationally

- **Leverage** public and/or private **financing**

- Promote **endogenous** and **most appropriate** technologies and processes

- Promote and demonstrate **multiple benefits**, as well as social, economic, and environmental sustainability

- Promote **gender equality**, and empowerment of vulnerable groups, including women and youth.

- Promote **collaboration among stakeholders**, including between countries, and having elements of South – South, bilateral, or multilateral cooperation

- Promote **multi-country approaches** and the regional bundling of requests

+ balancing criteria (regions and thematic)

Design of the Assistance

Request proponents

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National Designated Entities
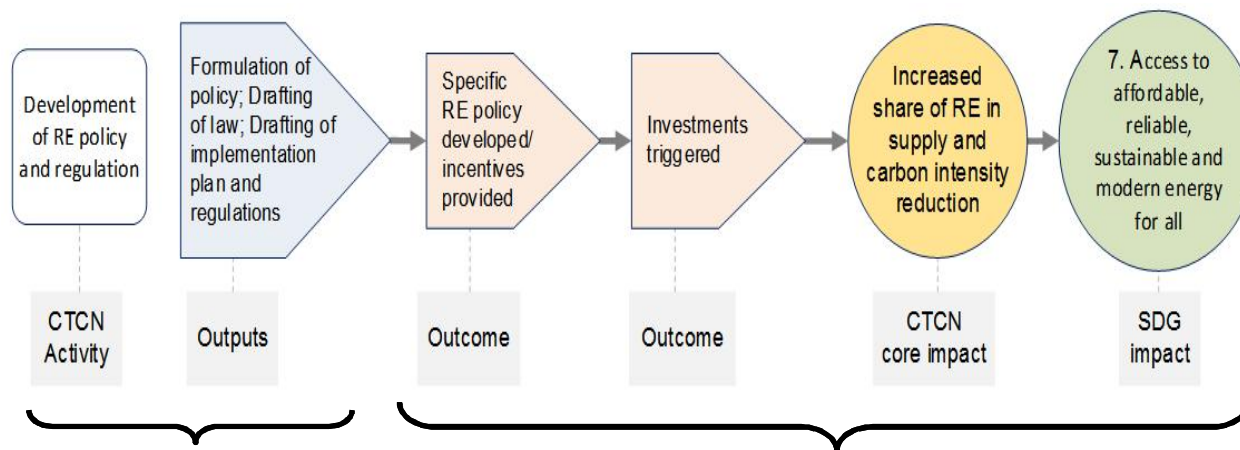
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CTCN & Consortium

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CTCN Consortium and Network

Once request is eligible and prioritized:
- The CTCN forms the team of expert that will design the Terms of reference of the assistance – the 'Response Plan'
- The team of expert develop plan in coordination with NDE and request applicant
- Once finalized the response plan is signed by the request applicant, the NDE, and the CTCN Director
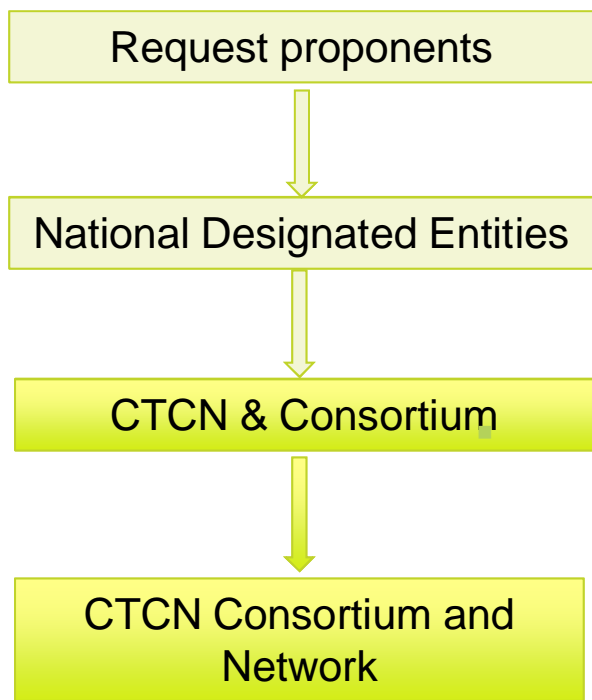
Converting a brief request into an action plan:
- Clarify strategic barrier and challenge to be addressed
- Activities, timeline, main stakeholders
- Expected impacts and use of the assistance

UNEP   CCC   UNIDO

Development of RE policy and regulation → Formulation of policy; Drafting of law; Drafting of implementation plan and regulations → Specific RE policy developed/ incentives provided → Investments triggered → Increased share of RE in supply and carbon intensity reduction → 7. Access to affordable, reliable, sustainable and modern energy for all

CTCN Activity   Outputs   Outcome   Outcome   CTCN core impact   SDG impact

Implementer Selection

Request proponents

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National Designated Entities

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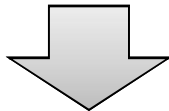CTCN & Consortium

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Once response plan is signed by all Parties, the CTCN selects the organization with the most appropriate expertise to answer the country need.

Rapid Response

- Up to US$50,000
- Consortium Partner

Response Project

- Between US$50,000 and US$250,000
- Tendering for open competition among Network Members

Start of the implementation

Monitoring of Assistance Results and Impacts

At Request Submission:
- ✓ Eligibility criteria 3

Response Plan Design:
- ✓ Results framework with indicators

Response Plan Implementation:
- ✓ Communicate learning and impact
- ✓ Coordination with NDE, proponents, implementers, CTCN

Response Closure:
- ✓ At response closure: feedback form
- ✓ Delivery and impact review
- ✓ Communication learning and impact

- Ensure high quality and result-based services from CTCN to countries
- Demonstrate that technical assistance has effectively led to larger scale actions and reached its expected impacts
- Ensure internal learning and improvement
- Respond to COP reporting requirements for accountability and transparency
- Support countries in demonstrating achievements on climate technologies as part of their reporting requirements to the UNFCCC

CTCN Assistance - Updates

98 requests submitted as of 1st April 2016
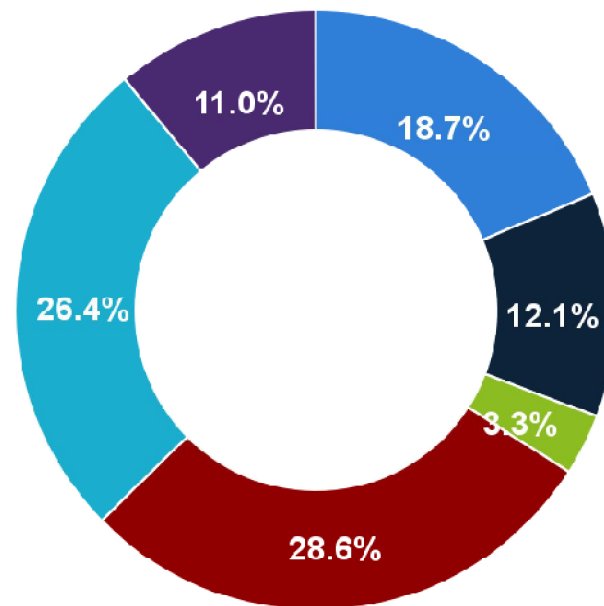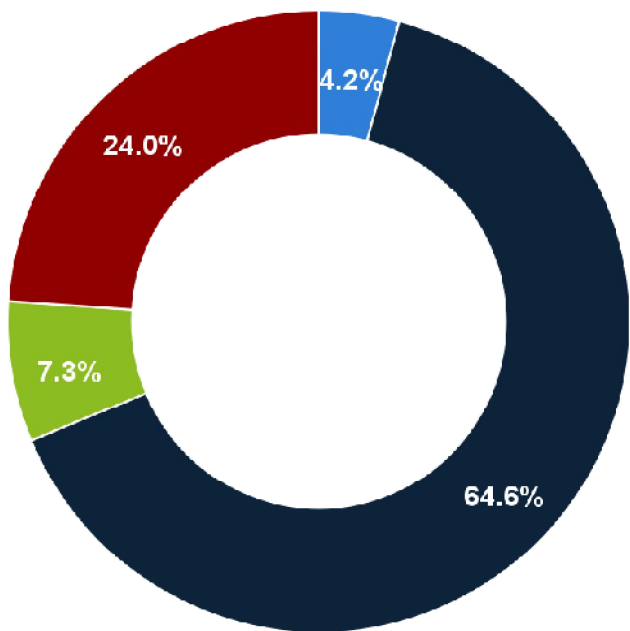
National — 64.6%
Regional multi-country — 4.2%
Sub-national — 24.0%
Community-based — 7.3%

Policy, planning and law — 18.7%
Project readiness and facilitating financing — 12.1%
Research and development — 3.3%
Technology feasibility, piloting and deployment — 28.6%
Technology identification and selection — 26.4%
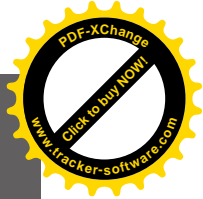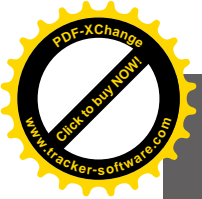Training, awareness raising and sharing experience — 11.0%
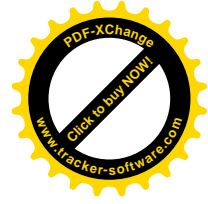
Discussion

What are your priorities for climate technologies?

Where do you envisage collaboration with the CTCN?

Thank you

CLIMATE TECHNOLOGY CENTRE & NETWORK

Norwegian Ministry of Foreign Affairs · European Commission · Udenrigsministeriet DANIDA · Canada · METI Ministry of Economy, Trade and Industry

Ministry of the Environment 環境省 · MINISTRY FOR FOREIGN AFFAIRS OF FINLAND · Comhshaol, Pobal agus Rialtas Áitiúil Environment, Community and Local Government · Governments of Switzerland and Germany

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